

I. Intro. → What rules & regs are informing you?
story?

II. Instructions to trainee

III. Cases/Problems - Descrip of Co + Relevant Details

A. Case 1 Setup

What Issues
Are you trying
to cover?
Bribery + corruption
FCPA?

① Description - breaking into US Market

- Urgency
- People or Agencies involved
- Specifics of what the co. is trying to do in this scenario

② Mock Interactions -

- Phone calls
- Emails
- Internal memos
- News Clippings

③ Conflicts of interest
④ Equal employment opportunities
⑤ FCPA

- Create interactions with embedded compliance issues
- 2-4 Interactions per case

③ Compliance Issues raised by the interaction or details

- What is the issue?
- Why is it an issue?
- How would you solve or what should have happened?

⑥ Ethical conduct
⑦ Privacy / ID Theft
[pinTech]