

CHAPTER 1

Introduction to Ethics

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A seed will only become a flower if it gets sun and water.

—Louis Gottschalk

OBJECTIVES

After reading this chapter, the reader should be able to do the following:

1. Define the terms *ethics* and *morals* and discuss philosophical uses of these terms.
2. Discuss systems of moral reasoning as they have been used throughout history.
3. Evaluate a variety of ethical theories and approaches to use in personal and professional relationships.

Introduction to Ethics

In the world today, “we are in the throes of a giant ethical leap that is essentially embracing all of humankind” (Donahue, 1996, p. 484). Scientific and technological advances, economic realities, pluralistic worldviews, and global communication make it difficult for nurses to ignore the important ethical issues in the world community, their everyday lives, and their work. As controversial and sensitive ethical issues continue to challenge nurses and other healthcare professionals, many professionals have begun to develop an appreciation for traditional philosophies of ethics and the diverse viewpoints of others.

Ethical directives are not always clearly evident, and people sometimes disagree about what is right and wrong. These factors lead some people to believe ethics can be based merely on personal opinions. However, if nurses are to enter into the global dialogue about ethics, they must do more than practice ethics based simply on their personal opinions, their intuition, or the unexamined beliefs proposed by other people. It is important for nurses to have a basic understanding of the concepts, principles, approaches, and theories used in studying ethics throughout history so they can identify and analyze ethical issues and dilemmas relevant to nurses in the 21st century. Mature, ethical sensitivities are critical to

ethical practice, and as Hope (2004) proposed, “we need to develop our hearts as well as our minds” (p. 6).

The Meaning of Ethics and Morals

When narrowly defined according to its original use, ethics is a branch of philosophy used to study ideal human behavior and ways of being. The approaches to ethics and the meanings of related concepts have varied over time among philosophers and ethicists. For example, Aristotle believed ideal behaviors are practices leading to the end goal of *eudaimonia*, which is synonymous with a high level of happiness or well-being; on the other hand, Immanuel Kant, an 18th-century philosopher and ethicist, believed ideal behavior is acting in accordance with one’s duty. For Kant, well-being meant having the freedom to exercise autonomy (self-determination), not being used as a means to an end, being treated with dignity, and having the capability to think rationally.

As a philosophical discipline of study, **ethics** is a systematic approach to understanding, analyzing, and distinguishing matters of right and wrong, good and bad, and admirable and dishonorable as they relate to the well-being of and the relationships among sentient beings. Today, even relationships between people and their environment have entered the realm of ethics. Ethical determinations are applied through the use of theories, approaches, and codes of conduct, such as codes developed for professions and religions. Ethics is an active process rather than a static condition, so some ethicists use the expression *doing ethics*. When people are doing ethics, they need to support their beliefs and assertions with sound reasoning.

Feelings and emotions are a normal part of everyday life and can play a legitimate role in doing ethics. However, people sometimes allow their emotions to overtake good reasoning; when this happens, it does not provide a good foundation for ethics-related decisions. Evaluations generated through the practice of ethics require a balance of emotion and reason.

Throughout history, people, based on their culture, have engaged in actions they believe are justifiable only to have the light of reason later show otherwise. Following a charismatic but egocentric leader such as Adolf Hitler is an example of such a practice.

ETHICAL REFLECTION

Consider a person who believes abortion is wrong based on the position that human life is sacred. Can this same person logically justify that the death penalty is a moral action?

Discuss.

As contrasted with ethics, **morals** are specific beliefs, behaviors, and ways of being derived from doing ethics. One’s morals are judged to be good or bad through systematic, ethical analysis. The reverse of morality is *immorality*, which means a person’s behavior is in opposition to accepted societal, religious, cultural, or professional ethical standards and principles; examples of immorality include dishonesty, fraud, murder, and sexually abusive acts. *Amoral* is a term used to refer to actions normally judged as immoral, but the actions are done with a lack of concern for good character, one’s duty, or the consequences. For example, murder is immoral, but if a person commits murder with absolutely no sense of remorse or maybe even with a sense of pleasure, the person is acting in an amoral way. Acts are considered to be *nonmoral* if moral standards essentially do not apply to the acts; for example, choosing between cereal or toast and jam for breakfast is a nonmoral decision.

When people consider matters of ethics, they usually are considering matters about freedom in regard to personal choices, one’s obligations to other sentient beings, or judgments about human character. The term *unethical* is used to describe ethics in its negative form, for instance, when a person’s character or behavior is contrary to admirable traits

or the code of conduct endorsed by one's society, community, or profession. Because the word *ethics* is used when one may actually be referring to a situation of morals, the process-related, or *doing*, conception of ethics is sometimes overlooked today. People often use the word *ethics* when referring to a collection of actual beliefs and behaviors, thereby using the terms *ethics* and *morals* interchangeably. In this text, some effort has been made to distinguish the words *ethics* and *morals* based on their literal meanings; however, because of common uses, the terms have generally been used interchangeably.

The following features regarding the concepts of *morals* and *ethics* were adapted from Billington (2003):

- Probably the most important feature about ethics and morals is that no one can avoid making ethical decisions because social connections with others necessitates that people must consider moral and ethical actions.
 - Other people are always involved with one's ethical decisions. Private morality does not exist.
 - Ethical decisions matter because one person's decisions often affect someone else's life, self-esteem, or happiness.
- It is difficult to reach definite conclusions or resolutions in ethical debates.
- In the area of morals and ethics, people cannot exercise ethical judgments without being given a choice; in other words, a necessity for making a sound ethical judgment is being able to choose an option from among a number of choices.
- People use moral reasoning to make moral judgments or to discover right actions.

Types of Ethical Inquiry

Ethics is categorized according to three types of inquiry or study: normative ethics, metaethics, and descriptive ethics. The first approach, **normative ethics**, is an attempt to decide or prescribe values, behaviors, and ways of being

that are right or wrong, good or bad, admirable or dishonorable. When using the method of normative ethics, inquiries are made about how humans should behave, what ought to be done in certain situations, what type of character one should have, or the type of person one should be.

LEGAL PERSPECTIVE

Common law is based on customs and previously decided cases rather than on statutes.

Outcomes of normative ethics are the prescriptions derived from asking normative questions. These prescriptions include accepted moral standards and codes. One such accepted moral standard identified by Beauchamp and Childress (2013) is the common morality. The **common morality** consists of normative beliefs and behaviors that members of a society generally agree about and are familiar to most members of the society. These norms develop within the context of history and form a "social compact" (p. 3) about how people should behave. Because it forms what can be thought of as a universal morality with a wide scope, the common morality provides society with a framework of ethical stability. The common morality contains rules of obligation, character traits, and common moral ideals. The beliefs that it is moral to tell the truth, exhibit loving-kindness, and be charitable are part of the common morality, whereas abortion is not a part of the common morality because of the many varying positions about its rightness or wrongness. Gert, Culver, and Clouser (2006) contended that many people mistakenly believe there is little agreement about moral matters, whereas in reality, controversial issues are actually the focus of only a small part of ethical decision making.

Particular nonuniversal moralities adhered to by specific groups can be distinguished

from the common morality (Beauchamp & Childress, 2013). Particular moralities, such as those based on a certain ethical theory or approach (see those discussed later in this chapter) or a profession's moral norms and codes, are heavily content laden and specific rather than general in nature. Yet these nonuniversal moralities generally are consistent with socially sanctioned beliefs falling under a common morality. The *Code of Ethics for Nurses with Interpretive Statements* (American Nurses Association, 2015) is a specific morality for professional nurses in the United States. A normative belief posited in the code is that nurses ought to be compassionate; that is, nurses should work to relieve suffering. Nurses have specific obligations that are different from the obligations of other people. As risks and dangers for nurses become more complex, the profession's morality must evolve and be continually reexamined. Nurses might ask themselves these normative questions: Do I have an obligation to endanger my life and the life of my family members by working during a highly lethal influenza pandemic? Do I have an obligation to stay at work in a hospital during a category 5 hurricane rather than evacuating with my family? The answers to these questions may generate strong emotions, confusion, or feelings of guilt.

LEGAL PERSPECTIVE

Some actions may be legal, but people do not agree that the actions are moral. Research and debate issues such as the following:

Breaking promises

Abortion

Palliative or terminal sedation

The focus of **metaethics**, which means *about ethics*, is not an inquiry about what ought to be done or which behaviors should be prescribed. Instead, metaethics is concerned with understanding the language of morality through an analysis of the meaning of

ethically related concepts and theories, such as the meaning of *good*, *happiness*, and *virtuous character*. For example, a nurse who is actively engaged in a metaethical analysis might try to determine the meaning of a *good* nurse-patient relationship.

Descriptive ethics is often referred to as a scientific rather than a philosophical ethical inquiry. It is an approach used when researchers or ethicists want to describe what people think about morality or when they want to describe how people actually behave, that is, their morals. Professional moral values and behaviors can be described through nursing research. An example of descriptive ethics is research that identifies nurses' attitudes regarding telling patients the truth about their terminal illnesses.

Ethical Perspectives

Though it may seem somewhat contrary to the contention that there is an understandable common morality, ethical thinking, valuing, and reasoning are believed to fall somewhere along a continuum between two opposing views: ethical relativism and ethical objectivism. After reading the following discussion about ethical perspectives, it probably will seem sensible to reflect on the meaning of philosophy and why ethics is a philosophical pursuit. Ethical issues and discussions frequently have blurred edges. They do not fit into a circumscribed mold. However, this does not make doing ethics merely an opinion-based endeavor, though one can reasonably argue that extremes of ethical relativism come close.

Ethical Relativism

Ethical relativism is the belief that it is acceptable for ethics and morality to differ among persons or societies. There are two types of ethical relativism: ethical subjectivism and cultural relativism (Brannigan & Boss, 2001). People who subscribe to a belief in **ethical subjectivism** believe "individuals create

their own morality [and] there are no objective moral truths—only individual opinions” (2001, p. 7). People’s beliefs about actions being right or wrong or good or bad depend on how people feel about actions rather than on reason or systematic ethical analysis. What one person believes to be wrong might not be viewed as wrong by one’s neighbor, depending on variations in opinions and feelings. These differences are acceptable to ethical subjectivists.

Ethical subjectivism has been distinguished from cultural relativism. Pence (2000) defined **cultural relativism** as “the ethical theory that moral evaluation is rooted in and cannot be separated from the experience, beliefs, and behaviors of a particular culture, and hence, that what is wrong in one culture may not be so in another” (p. 12). People who are opposed to cultural relativism argue that when it is practiced according to its extreme or literal meaning, this type of thinking can be dangerous because it theoretically may support relativists’ exploitative or hurtful actions (Brannigan & Boss, 2001). An example of cultural relativism is the belief that the act of female circumcision, sometimes called female genital mutilation, is a moral practice. Though not considered to be a religious ritual, this act is considered ethically acceptable by some groups in countries with a Muslim or an Egyptian Pharaonic heritage. In most countries and cultures, however, it is considered to be a grave violation in accordance with the United Nations’ Declaration of Human Rights.

Ethical Objectivism

Ethical objectivism is the belief that universal, or objective, moral principles exist. Many philosophers and healthcare ethicists hold this view, at least to some degree, because they strictly or loosely adhere to a specific approach in determining what is good. Examples of objectivist ethical theories and approaches are deontology, utilitarianism, and natural law theory, which are discussed later in this chapter. Though some ethicists believe these theories

or approaches are mutually exclusive, theories and approaches often overlap when used in practice. “Moral judgment is a whole into which we must fit principles, character and intentions, cultural values, circumstances, and consequences” (Brannigan & Boss, 2001, p. 23).

ETHICAL REFLECTION

Where does your personal worldview fall on the continuum between ethical relativism and ethical objectivism? Defend your position.

Values and Moral Reasoning

Because ethics falls within the abstract discipline of philosophy, ethics involves many perspectives of what people value as meaningful and good in their lives. A **value** is something of worth or highly regarded. Values refer to one’s evaluative judgments about what one believes is good or makes something desirable. The things people esteem to be *good* influence how personal character develops and people think and subsequently behave. Professional values are outlined in professional codes. A fundamental position in the American Nurses Association’s *Code of Ethics for Nurses with Interpretive Statements* (2015) is that professional and personal values must be integrated. Values and moral reasoning in nursing fall under the domain of normative ethics; that is, professional values contained in the code guide nurses in how they ought to be and behave.

Reasoning is the use of abstract thought processes to think creatively, answer questions, solve problems, and formulate strategies for one’s actions and desired ways of being. When people participate in reasoning, they do not merely accept the unexamined beliefs and ideas of other people. Reasoning involves thinking for oneself to determine if one’s conclusions

are based on good or logical foundations. More specifically, **moral reasoning** pertains to reasoning focused on moral or ethical issues. Moral reasoning for nurses usually occurs in the context of day-to-day relationships between nurses and the recipients of their care and between nurses and their coworkers and others within organizations.

Different values, worldviews, and ways of moral reasoning have evolved throughout history and had different points of emphasis in various historical periods. In regard to some approaches to reasoning about moral issues, what was old becomes new again, as in the case of the renewed popularity of virtue ethics, or the concept of reasoning as would be practiced by a person with good character.

Ancient Greece

In Western history, much of what is known about formal moral reasoning generally began with the ancient Greeks, especially with the philosophers Socrates (ca. 469–399 BCE), Plato (ca. 429–347 BCE), and Aristotle (384–322 BCE). Though there are no primary texts of the teachings of Socrates (what we have of his teachings were recorded by Plato), it is known that Socrates was an avid promoter of moral reasoning and critical thinking among the citizens of Athens. Socrates is credited with the statement “the unexamined life is not worth living,” and he developed a method of reasoning called Socratic questioning, or the Socratic method, which is still used today.

In using his method of inspiring open-mindedness and critical thinking, Socrates posed challenging questions and then would ask another question about the answers he received. A goal of participating in a Socratic dialogue is to investigate the accuracy, clarity, and value of one’s intellectual positions and beliefs. An example of his method of questioning is as follows:

Socrates: Why should a nurse study ethics?

Nurse: To be a good nurse.

Socrates: What is a good nurse?

Nurse: It means my patients are well taken care of.

Socrates: How do you know your patients are well taken care of?

This line of questioning should continue until the concepts and positions stemming from the original question are thoroughly explored. Socratic questioning does not mean one ends up with a final answer; however, this form of discussion encourages people to continually expand their thinking in critical and reflective ways.

ETHICAL REFLECTION

In small groups, begin a Socratic dialogue with classmates or colleagues. Develop your own questions, or use one of the following examples. A Socratic dialogue should be civil, nonthreatening, and supportive of learning; it is not a means to belittle people who have beliefs different from one’s own. After your dialogue, share your understandings with other groups.

- What does caring mean in nursing?
- What does competence mean in nursing?
- What is academic integrity?

Socrates had many friends and allies who believed in his philosophy and teachings. In fact, Socrates was such a successful and well-known teacher of philosophy and moral reasoning in Athens that he was put to death for upsetting the sociopolitical status quo. Socrates was accused of corrupting the youth of Athens who, under his tutelage, began to question their parents’ wisdom and religious beliefs. These accusations of corruption were based on Socrates’s encouraging people to think independently and question dogma generated by the ruling class. Though he was sentenced to death by the powerful, elite men within his society, Socrates refused to apologize for his beliefs and teachings. He ultimately

chose to die by drinking poisonous hemlock rather than deny his values.

Plato, Socrates's student, is believed by some to have been the most outstanding philosopher to have ever lived. Plato's reasoning is based on his belief that there are two realms of reality. The first is the realm of Forms, which transcends time and space. According to Plato, an eternal, perfect, and unchanging ideal copy (Form) of all phenomena exists in the realm of Forms, which is beyond everyday human access. Plato believed the realm of Forms contains the essence of concepts and objects and even the essence of objects' properties. Essences existing in the realm of Forms include, for example, a perfect Form of good, redness (the color red), or a horse. In the realm of Forms, the essence of good exists as ideal Truth, and redness (a particular property of some objects, such as an apple) exists as the color red in its most perfect state. A horse in the realm of Forms is the perfect specimen of the animal that is a horse, and this perfect horse contains all the horse-ness factors that, for example, distinguish a horse from a cow. Plato considered the world of Forms to be the real world, though humans do not live in this world.

The second realm is the world of Appearances, which is the everyday world of imperfect, decaying, and changing phenomena; this is the world in which humans live. The underlying purpose, or goal, of imperfect phenomena in the world of Appearances is to emulate their associated essences and perfect Forms. For example, a horse's purpose in life is to strive toward becoming identical to the perfect specimen of a horse that exists in the world of Forms.

Plato also proposed that humans have a tripartite soul. The three parts of the soul consist of the Faculty of Reason, associated with thought and Truth, which is located in one's head; the Faculty of Spirit, which expresses love, beauty, and the desire for eternal life and is located in one's chest; and the Faculty of Appetite, which is an expression of human desires and emotions and is located in one's gut. Plato

believed the influences of these three parts of the soul exist in greater to lesser degrees in each person. Therefore, one person may be more disposed to intellectual pursuits as compared to another person who is more interested in physical pleasures.

Plato associated the tripartite soul with three classes of Greek society and one's best-suited occupation. People were believed to have an individual aptitude particularly suited to them and their purpose in society:

- Philosopher kings were associated with the Faculty of Reason and wisdom.
- Societal guardians were associated with the Faculty of Spirit and protecting others.
- Artisans and craftsmen were associated with the Faculty of Appetite and technical work.

FOCUS FOR DEBATE

If Florence Nightingale were alive today and she took the position that nurses represent Plato's guardian class and physicians represent the artisan class, would she be correct?

Florence Nightingale, the founder of modern nursing, was a passionate student of ancient Greek philosophy. Nightingale may have aligned the function of nurses with the Faculty of Spirit (LeVasseur, 1998). Because of her education in classical Greek literature and culture and her views about nursing, LeVasseur proposed that Nightingale might have compared her purpose as a nurse with the role of a societal guardian. In contrast, early physicians, whose profession developed through apprenticeship guilds, which emphasized technical practices, might be compared to the artisan class.

One of Plato's most famous stories about reasoning is his allegory of the cave. In this story, a group of people lived their lives chained to the floor of a cave. Behind them burned a fire that cast shadows of people moving on the wall in front of the people who were chained.

The chained prisoners believed the shadows were actually real people. When one of the prisoners was freed from his chains, he left the cave. First, he was blinded by the brightness of the sun. After his sight adjusted to the light, he saw objects he realized were more real than the shadows within the cave. The freed person returned to the cave to encourage the other prisoners to break their chains and enter the more expansive world of reality. The meaning of this story has been interpreted in many ways. Whatever Plato's intended meaning, the story does prompt people to think about the problems that result when they remain chained by their closed minds and flawed reasoning.

Plato's student Aristotle developed science, logic, and ethics to world-altering proportions. Though he was influenced by Plato, Aristotle took a more practical approach to reasoning than believing in an otherworldly realm of ideal Forms. He was guided in his reasoning by his belief in the importance of empirical inquiry. He also believed all things have a purpose, or end goal (*telos*), similar to Plato's proposition that the goal of all things is to strive to be like their perfect Form. In *Nicomachean Ethics*, Aristotle (trans. 2002) discussed practical wisdom (**phronesis**) as being necessary for deliberation about what is good and advantageous if people want to move toward their human purpose, or desired end goal, of happiness or well-being (*eudaimonia*). Aristotle believed a person needs education to cultivate *phronesis* to achieve intellectual excellence.

ETHICAL REFLECTION

Compare Plato's allegory of the cave to critical thinking in nursing. Think of a few personal examples when you were chained in the cave. What were the circumstances? What were the outcomes? What made a difference in your thinking?

Aristotle's conception of *phronesis* is similar to Plato's conception of the virtue of prudence. Wisdom is focused on the good achieved from being wise, which means one knows how to act in a particular situation, deliberates well, and has a disposition embodying excellence of character. Therefore, in ancient Greece, prudence is more than simply having good intentions or meaning well; it is knowing what to do and how to be but also involves transforming knowledge into well-reasoned actions. Aristotle believed people are social beings whose reasoning should lead them to be good citizens and friends and to act in moderate ways.

The Middle Ages

After the Roman Empire was divided by barbarians and the Roman Emperor Romulus was dethroned (ca. 476 CE), the golden age of intellectualism and cultural progress in Western Europe ended. The next historical period was the Middle, or Dark, Ages, which lasted until about 1500 CE. In the gap left by the failed political system of Rome, Christianity became the dominant religion in Western Europe as the Catholic Church took on the powerful role of educating European people. Christianity is a monotheistic (one God) revelatory religion, whereas ancient Greek philosophy was based on the use of reason and polytheism (many gods). Because Greek philosophy was believed to be heretical, its examination was discouraged during the church-dominated Middle Ages. However, it is interesting that two Catholic saints, Augustine and Aquinas, who provided the major ethical influence during the Middle Ages, were both influenced by the ancient Greeks.

Saint Augustine (354–430 CE) is often considered to be the Plato of the Middle Ages. Though Augustine was a Christian and Plato was a non-Christian, Augustine's belief in a heavenly place of unchanging moral truths is similar to Plato's belief in the realm of ideal Forms. Augustine believed these Truths are

imprinted by God on the soul of each human being. According to Augustine, one has a duty to love God, and moral reasoning should direct one's senses in accordance with that duty; being subject to this obligation is what leads to moral perfection. Generally, Saint Augustine believed in the existence of only good, similar to how the essence of good would exist if it were an ideal Form. Therefore, evil is present only when good is missing or has in some way been perverted from its existence as an ideal Truth.

Augustine was 56 years old during the fall of the Roman Empire. In one of his most famous writings, *The City of God*, Augustine used the fall of the Roman Empire to explain a philosophy sometimes compared to Plato's conception of the worlds of Forms and Appearances. People who live according to the spirit live in the City of God (world of perfection/Forms), whereas people who live according to the flesh live in the City of Man (world of imperfection/Appearances). To move away from evil, one must have the grace of God. Augustine viewed humans as finite beings who must have the divine aid of grace to bridge the gap required to have a relationship with the infinite being of God.

The Crusades influenced Europe's exodus from the Dark Ages. When Christians entered Islamic lands, such as Spain, Portugal, and North Africa, they were reintroduced to intellectualism, including texts of the ancient Greeks, especially Aristotle. The moral teachings of Saint Thomas Aquinas (1224–1274) are sometimes viewed as a Christianized version of Aristotle's ethical teachings. Aquinas tried to reconcile Aristotle's teachings with the teachings of the Catholic Church. Like Aristotle, Aquinas believed people have a desirable end goal, or purpose, and developing excellences of character (virtues) leads to human happiness and good moral reasoning. Aristotle's non-Christian moral philosophy is based on humans moving toward an end goal, or dynamic state, of *eudaimonia* (happiness or

well-being) through the cultivation of excellent intellect and moral character.

Aquinas expanded Aristotle's conception of the end goal of perfect happiness and grounded the requirements for happiness in the knowledge and love of God and Christian virtues. Aquinas replaced Aristotle's emphasis on the virtue of pride with an emphasis on the virtue of humility. Aristotle believed pride is an important characteristic of independent, strong men, whereas Aquinas valued the characteristic of humility because it represented to him one's need to depend on the benevolence of God. In addition to virtue ethics, Aquinas is associated with a belief in reasoning according to the natural law theory of ethics. Both of these ethical approaches are covered later in this chapter.

Modern Philosophy and the Age of Enlightenment

The period of modern philosophy began when the Catholic Church, the major intellectual force during the Middle Ages, began to have a diminishing influence within society while the influence of science began to increase. The scientific revolution began in 1543, when Copernicus discovered that the Earth and humans are not the center of the universe, but this revolution did not rapidly advance until the 17th century, when Kepler and Galileo moved scientific debates to the forefront of society.

With these changes came a new freedom in human moral reasoning based on people being autonomous, rational-thinking creatures rather than primarily being influenced and controlled by Church dogma and rules. During the 18th-century Enlightenment era, humans believed they were coming out of the darkness of the Middle (Dark) Ages into the light of true knowledge.

Some scientists and philosophers were bold enough to believe humans could ultimately be perfected and all knowledge could be discovered. As the belief in empirical

science grew, a new way of thinking was ushered in that compared both the universe and people to machines. Many scientists and philosophers believed the world, along with its inhabitants, could be reduced through analyses into their component parts. These reductionists hoped that after most or all knowledge was discovered, the universe and human behavior could be predicted and controlled. People still demonstrate evidence of this way of thinking in health care today when *cure* is highly valued over *care* and uncertainty is considered to be something that can or needs to be eliminated in regard to health and illness. A **mechanistic approach** is one that focuses on fixing problems as if one is fixing a machine, as contrasted to a humanistic, or holistic, approach, in which one readily acknowledges that well-being and health occur along a complex continuum and some situations and health problems cannot be predicted, fixed, or cured.

ETHICAL REFLECTION

Identify examples of mechanistic practices in health care today.

During the 18th century, David Hume (1711–1776) proposed an important idea about moral reasoning. Hume argued there is a distinction between facts and values when moral reasoning is practiced. This *fact/value distinction* has also been called the *is/ought gap*. A skeptic, Hume suggested a person should not acknowledge a fact and then make a value judgment based on that fact because one logically cannot take a fact of what *is* and then determine an ethical judgment of what *ought to be*. If Hume's position is accepted as valid, people should not make assumptions such as the following: (1) if all dogs have fleas (assuming this is a known fact) and (2) Sara is a dog (a fact), then (3) Sara ought not to be allowed to sleep on the sofa because having fleas on the sofa is a bad thing (a value statement).

According to people who believe in the truth of the fact/value distinction, the chance of Sara spreading her fleas to the sofa might be a fact if she sleeps on it, but determining that having fleas on the sofa is a bad thing is based on only one's values or feelings.

Postmodern Era

After the scientific hegemony of the Enlightenment era, some people began to question whether a single-minded allegiance to science was creating problems for human societies. Postmodernism is often considered to have begun around 1950, after the end of World War II. However, some people trace its beginnings back to German philosopher Friedrich Nietzsche in the late 1800s. Pence (2000) defined *postmodernism* as “a modern movement in philosophy and the humanities that rejects the optimistic view that science and reason will improve humanity; it rejects the notion of sustained progress through reason and the scientific method” (p. 43). The postmodern mind is formed by a pluralistic view, or a diversity of intellectual and cultural influences. People who live according to a postmodern philosophy acknowledge that reality is constantly changing and scientific investigations cannot provide one grand theory or correct view of an absolute Truth that can guide human behavior, relationships, and life. Human knowledge is thought instead to be shaped by multiple factors, with storytelling and narrative analysis being viewed as core components of knowledge development.

Care-Based Versus Justice-Based Reasoning

A care approach to moral reasoning is often associated with a feminine way of thinking, and a cure approach is usually associated with a masculine, Enlightenment-era way of thinking. In 1981, Lawrence Kohlberg, a psychologist, reported his landmark research about

moral reasoning based on 84 boys he had studied for more than 20 years. Based on the work of Jean Piaget, Kohlberg defined six stages of moral development ranging from childhood to adulthood. Interestingly, Kohlberg did not include any women in his research, but he expected to use his six-stage scale to measure moral development in both males and females.

When the scale was applied to women, they seemed to score at only the third stage of the sequence, a stage in which Kohlberg described morality in terms of interpersonal relationships and helping others. Kohlberg viewed this third stage of development as somewhat deficient in regard to mature moral reasoning. Because of Kohlberg's exclusion of females in his research and his negative view of this third stage, Carol Gilligan, one of Kohlberg's associates, raised the concern of gender bias. Gilligan, in turn, published an influential book in 1982, *In a Different Voice*, in which she argued that women's moral reasoning is different but not deficient. The distinction usually made between moral reasoning as it is suggested by Kohlberg and Gilligan is that Kohlberg's is a male-oriented ethic of justice and Gilligan's is a more feminine ethic of care (covered later in this chapter).

Learning from History

Often, it is only in hindsight that people are able to analyze a historical era in which there is a converging of norms and beliefs held in high esteem or valued by large groups within a society. Like the overlapping approaches used by some ethical objectivists, the influences of historical eras also build upon each other and often are hard to separate. Christians still base much of their ethical reasoning on the philosophy generated during the Middle Ages. At the same time, it is evident that individualistic ways of thinking that were popular during the Enlightenment remain popular today in Western societies because autonomy (self-direction) is so highly valued. Because varied historical influences have affected moral reasoning,

there is a pattern of rich and interesting values, perspectives, and practices evident in today's globally connected world.

Ethical Theories and Approaches

Normative ethical theories and approaches function as moral guides to answer the questions "What ought I do or not do?" and "How should I be?" A theory can provide individuals with guidance in moral thinking and reasoning as well as justification for moral actions. The following theories and approaches are not all inclusive, but they represent some of the most popular.

Western Ethics

Religion and Western Ethics

A discussion of Western ethics systems likely prompts some people to want to include monotheistic Western religious traditions, such as Judaism, Christianity, and Islam. Morality in each of these religions is based on sacred texts—the first five books of the Old Testament of the Bible (Torah) in Judaism, the Old and especially the New Testament of the Bible in Christianity, and the Qur'an (Koran) given by Allah to the Prophet Muhammad in Islam. Pleasing God, according to sacred laws and traditions, dominates prescribed moral behavior in each of these religious groups. In addition to sacred scripture, there are historical figures who heavily influenced religious ethical systems, for example, the Catholic saints Augustine and Aquinas and the medieval Jewish philosopher Maimonides.

The politically, socially, and intellectually focused ancient Greeks provided the most developed system of ethics in the Western world until the Middle Ages when religious doctrine became the primary focus. Then, as people moved into the Enlightenment period and

again viewed human intellect as being trustworthy for providing moral guidance, secular systems of ethics overtook religious systems. Today, among many people, the lines between sacred and secular ethics are blurred. It is a key point of understanding ethics, however, that in a post-Enlightenment world, ethics falls under the umbrella of philosophy rather than religion. The ethical systems discussed in this chapter are those considered to be classic theories and approaches in Western ethical philosophy, though some of them do stem from religious traditions.

FOCUS FOR DEBATE

Does a person need to be religious to be moral? For example, can an atheist be moral? Can or should ethics be separated from religion? Defend your positions.

Virtue Ethics

Watch your thoughts; they become words.

Watch your words; they become actions.

Watch your actions; they become habits.

Watch your habits; they become character.

Watch your character; it becomes your destiny.

—Various attributions
but author unknown

Rather than centering on what is right or wrong in terms of one's duties or the consequences of one's actions, the excellence of one's character and considerations of what sort of person one wants or ought to be are emphasized in **virtue ethics**. Since the time of Plato and Aristotle, virtues, called *arête* in Greek, have referred to excellences in regard to people or objects being the best they can be in accordance with their purpose. As the ancient Greeks originally conceived the concept, even an inanimate object can have virtue. For example, the purpose of a knife is to cut, so *arête* in regard to a knife means the knife has a sharp edge and cuts very

well. If one needs the services of a knife, it is probably safe to assume a knife that exhibits excellence in cutting would be the type of knife one would want to use; most people want to use a knife that accomplishes its purpose in the best way possible.

For humans, virtue ethics addresses the question “What sort of person must I be to be an excellent person?” rather than “What is my duty?” **Virtues** for humans are habitual, though not routinized, excellent traits intentionally developed throughout one's life. Annas (2011) outlined a description of how to spot virtue. In regard to a person, a virtue is a “lasting feature” (loc. 138); it is “active” and develops “through selective response to circumstances” (loc. 142). Virtue “persists through challenges and difficulties, and it is strengthened or weakened by . . . responses” (loc. 142). “A virtue is also a reliable disposition . . . it is no accident” (loc. 146).

A person of virtue, consistent with Aristotle's way of thinking, is a person who is an excellent friend to other people, an excellent thinker, and an excellent citizen of a community. Aristotle's (trans. 2002) approach to virtue ethics is grounded in two categories of excellence: intellectual virtues and character, or moral, virtues. According to Aristotle, “the intellectual sort [of virtue] mostly . . . comes into existence and increases as a result of teaching (which is why it requires experience and time), whereas excellence of character results from habituation” (p. 111). The habituation Aristotle had in mind is an intelligent, mindful attention to excellent habits rather than a thoughtless routinization of behaviors.

Though Aristotle (trans. 2002) divided virtues into two kinds—those of the intellect and those of character—the two categories of virtues cannot be distinctly separated. Aristotle proposed “it is not possible to possess excellence in the primary sense [that is, having excellence of character] without wisdom, nor to be wise without excellence of character” (p. 189).

Aristotle realized good things taken to an extreme could become bad. He therefore

proposed that there is a Golden Mean in ways of being. Most virtues are considered to exist as a moderate way of being between two kinds of vices or faults: the extremes of excess at one end and deficiency at the other. For instance, Aristotle named courage as a virtue, but the extremes of rashness at one end of a continuum and cowardice at the other end of the same continuum are its related vices. Another example is the virtue of truthfulness, which is the mean between boastfulness and self-deprecation. The mean for each virtue is unique for each type of virtue and situation; in other words, the mean is not a mathematical average.

Other examples of virtues include benevolence, compassion, fidelity, generosity, and patience. Plato designated the four virtues of prudence (wisdom), fortitude (courage), temperance (moderation), and justice as cardinal virtues, meaning all other virtues hinge on these four primary virtues. Prudence corresponds to Plato's idea of the Faculty of Reason, fortitude corresponds to the Faculty of Spirit, and temperance corresponds to the Faculty of Appetite; the virtue of justice is an umbrella virtue encompassing and tying together the other three.

The ancient Greeks are most frequently associated with virtue ethics, but other philosophers and ethicists have also proposed views about virtues. The Scottish philosopher David Hume (1711–1776) and the German philosopher Friedrich Nietzsche (1844–1900) each proposed an interesting philosophy of virtue ethics that differs from the philosophies of the Greeks, though Hume's and Nietzsche's are not the only other approaches to virtue ethics.

Hume, whose approach is used by some feminist philosophers, believed virtues flow from a natural human tendency to be sympathetic or benevolent toward other people. Virtues are human character traits admired by most people and judged to be generally pleasing as well as being useful to other people, useful to oneself, or useful to both other people and to oneself. Because of Hume's focus on the

usefulness of virtues, his approach to ethics is also associated with utilitarianism, which is discussed later in this chapter. Hume's philosophy of ethics is based on emotion as the primary human motivator for admirable behavior rather than motivation by reason. However, Hume did not propose that ethics is based merely on personal opinion. Virtuous behavior is validated by the consensus of members of communities according to what is useful for a community's well-being.

A different and more radical view of virtue ethics is based on the philosophy of Nietzsche. Rather than viewing people as caring, sympathetic beings, Nietzsche proposed the best character for people to cultivate is grounded in a *will to power*. Nietzsche believed the will to power rightly should motivate people to achieve dominance in the world. Nietzsche praised strength as virtuous, whereas so-called feminine virtues, such as caring and kindness, he considered to be signs of weakness. This means, according to Nietzsche, that virtue is consistent with hierarchical power or power over other people, which makes the Christian virtue of humility a vice. It is believed another German, Adolf Hitler, adopted the philosophy of Nietzsche as his worldview. Though Nietzsche is a well-known and important person in the history of philosophy, his approach to virtue ethics has little place in nursing ethics.

ETHICAL REFLECTION

Partner with a colleague, and list several real-life examples related to each line of the quotation at the beginning of the "Virtue Ethics" section.

Although virtue ethics is popular again today, over the years interest in this ethical approach experienced a significant decline among Western philosophers and nurses (MacIntyre, 1984; Tschudin, 2003). Many Western philosophers lost interest in the virtues when they became entrenched in the schools of thought

popularized during the Enlightenment era that emphasized individualism and autonomy (MacIntyre, 1984).

Over time, nurses concluded it was not helpful professionally to follow the tradition of Florence Nightingale because her view of virtues in nursing includes a virtue of obedience (Sellman, 1997). However, Nightingale's valuing of obedience needs to be viewed within the context of the time in which she lived. Also, Nightingale's liberal education in Greek philosophy may have influenced her use of the virtue of obedience to reflect her belief in the value of practical wisdom as conceived by Aristotle (LeVasseur, 1998; Sellman, 1997). In connecting obedience to practical wisdom, some nurses now understand Nightingale's conception as approaching something akin to intelligent obedience rather than a subservient allegiance of nurses to physicians.

FOCUS FOR DEBATE

Can a limited set of virtues be identified as essential for members of the nursing profession? Which virtues are most important in nursing? Search the American Nurses Association's (2015) *Code of Ethics for Nurses with Interpretive Statements*, and identify a list of virtues discussed directly or indirectly in the document. Remember, virtues are excellent qualities of character, such as being compassionate, courageous, truthful, and humble.

Natural Law Theory

There is in fact a true law—namely, right reason—which is in accordance with nature, applies to all men, and is unchangeable and eternal. By its commands this law summons men to the performance of their duties; by its prohibitions it restrains them from doing wrong.

—**Marcus Tullius Cicero**,
The Republic (51 BCE)

Natural law theory has a long and varied history, dating back to the work of the ancient

Greeks. In fact, natural law theory is complex, and attempting to present its essence would be to oversimplify the theory (Buckle, 1993). Even the terms *nature* and *natural* are ambiguous.

Aristotle's conception of natural law theory is a universal type of justice grounded in the laws of nature rather than human law. Most modern versions of natural law theory have their basis in the religious philosophy of Saint Thomas Aquinas. Because he believed God created everything and implanted all things with purpose and order in concert with His will, Aquinas deduced that people could investigate nature and find God's expectations there. Consequently, people who use natural law theory contend the rightness of actions is self-evident because morality is inherently implanted in the order of nature and not revealed through customs and preferences. Today, natural law theory is the basis for religious prohibitions against acts some people consider *unnatural*, such as homosexuality and the use of birth control.

Though natural law theory and divine command theory sometimes are confused, they have a fundamental difference. According to divine command theory, an action is good because a divine being, such as God, commands it, whereas with natural law theory, a divine being commands an action because it is moral irrespective of said divine being.

Deontology

Deontology, literally the *study of duty*, is an approach to ethics focused on duties and rules. The most influential philosopher associated with the deontological way of thinking was Immanuel Kant (1724–1804). Kant defined a person as a rational, autonomous (self-directed) being with the ability to know universal, objective moral laws and the freedom to decide to act morally. **Kantian deontology** prescribes that each rational being is ethically bound to act only from a sense of duty; when deciding how to act, the consequences of one's actions are considered to be irrelevant.

According to Kant, it is only through dutiful actions that people can be moral. Even when individuals do not want to act from duty, Kant believed they are ethically bound to do so. In fact, Kant asserted that having one's actions motivated by duty is superior to acting from a motivation of love. Because rational choice is within one's control as compared to one's tenuous control over personal emotions, Kant was convinced that only reason, not emotion, is sufficient to lead a person to moral actions.

Kant believed people are ends in themselves and should be treated accordingly. Each autonomous, self-directed person has dignity and is due respect, and one should never act in ways that involve using other people as a means to one's personal ends. In fact, when people use others as a means to an end, even if they believe they are attempting to reach ethical goals, Kant believed people could be harmed. An example of this today is the failure to obtain informed consent from a research participant even when the researcher steadfastly believes the research will be beneficial to the participant.

ETHICAL REFLECTION

Review the American Nurses Association's (2015) *Code of Ethics for Nurses with Interpretive Statements*. Is the code based primarily on a deontological approach to nursing? Is it based primarily on a virtue ethics approach? Both? Discuss specific examples in the code that support your answer.

Kant identified rules to guide people in thinking about their obligations. He drew a distinction between two types of duties or obligations: the hypothetical imperative and the categorical imperative. Hypothetical imperatives are optional duties, or rules, people ought to observe or follow if certain ends are to be achieved. Hypothetical imperatives are sometimes called *if-then imperatives*, which means they involve conditional, or optional, actions;

for instance, if I want to become a nurse, then I have to study during nursing school.

Where moral actions are concerned, Kant believed duties and laws are absolute and unconditional. Kant proposed that people ought to follow a universal, unconditional framework of maxims, or rules, as a guide to know the rightness of actions and one's moral duties. He called these absolute and unconditional duties **categorical imperatives**. When deciding about matters of ethics, one should act according to a categorical imperative and ask the question "If I perform this action, could I will that it should become a universal law for everyone to act in the same way?" No action can ever be judged as right, according to Kant, if it is not reasonable that the action could be used as a binding, ethical law for all people. For example, Kant's ethics imposes the categorical imperative that one should never tell a lie because a person cannot rationally wish that all people should be able to pick and choose when they have permission not to be truthful. Another example of a categorical imperative is that suicide is never acceptable. A person, when committing suicide, should not rationally wish that all people should feel free to commit suicide, or the world would become chaotic.

Consequentialism

Consequentialists, as distinguished from deontologists, do consider consequences to be an important indication of the moral value of one's actions. Utilitarianism is the most well-known consequentialist theory of ethics. Utilitarianism means actions are judged by their utility; that is, they are evaluated according to the usefulness of their consequences. When people use the theory of **utilitarianism** as the basis for ethical behavior, they attempt to promote the greatest good (happiness or pleasure) and to produce the least amount of harm (unhappiness, suffering, or pain) possible in a situation. In other words, utilitarians believe it is useful to society to achieve the greatest good

for the greatest number of people who may be affected by an action.

British philosopher Jeremy Bentham (1748–1832), a contemporary and associate of Florence Nightingale's father, was an early promoter of the principle of utilitarianism. During Bentham's life, British society functioned according to aristocratic privilege. Poor people were mistreated by people in the upper classes and given no choice other than to work long hours in deplorable conditions. Bentham tried to develop a theory to achieve a fair distribution of pleasure among all British citizens. He went so far as to develop a systematic decision-making method using mathematical calculations. Bentham's method was designed to determine ways to allocate pleasure and diminish pain by using the measures of intensity and duration. This approach to utilitarianism has been criticized because Bentham equated all types of pleasure as being equal.

John Stuart Mill (1806–1873), another Englishman, challenged Bentham's views. Mill clearly pointed out that particular experiences of pleasure and happiness do have different qualities and different situations do not necessarily produce equal consequences. For example, Mill stated that higher intellectual pleasures may be differentiated from lower physical pleasures. The higher pleasures, such as enjoying a work of art or a scholarly book, are considered better because only human beings, not other animals, possess the mental faculties to enjoy this higher level of happiness.

According to Mill, happiness and pleasure are measured by quality and not quantity (duration or intensity). In making these distinctions between higher and lower levels of happiness and pleasure, Mill's philosophy is focused more on ethics than politics and social utility.

Mill believed communities usually agree about what is good and things that best promote the well-being of most people. An example of an application of Mill's utilitarianism is the use of mandatory vaccination laws—individual liberties are limited so the larger society is

protected from diseases, and the consequence is that people are happier because they are free of diseases. People who use Mill's form of utilitarian theory often can use widely supported traditions to guide them in deciding about rules and behaviors that probably will produce the best consequences for the most people, such as the maxim that stealing is wrong. Through experience, humans generally have identified behaviors that produce the most happiness or unhappiness for society as a whole.

Over time, people who subscribe to a theory of utilitarianism have divided themselves into subgroups. Two main types of utilitarianism have developed over the years: rule utilitarianism and act utilitarianism. **Rule utilitarians** believe there are certain rules—such as do not kill, do not break promises, and do not lie—that, when followed, usually create the best consequences for the most people. Based on this definition, someone might ask, “What is the difference between rule utilitarianism and deontology?” The answer is that all utilitarian theories of ethics, whether based on rules or individual actions, are predicated on achieving good consequences for the most people. Deontologists, on the other hand, make decisions based on right duty rather than on right consequences.

Act utilitarians believe each action in a particular circumstance should be chosen based on its likely good consequences rather than on following an inherently moral, universal rule. The utility of each action in achieving the most happiness is the aim of act utilitarians, whereas rule utilitarians are willing to accept causing more suffering than happiness in a particular situation to avoid violating a generalized rule. For example, promise breaking is permitted according to act utilitarianism if the consequences of the action (breaking a promise) cause more happiness than suffering in a particular situation. In the same situation, a rule utilitarian would say a promise should be upheld because, in most cases, promise keeping causes more happiness than suffering.

LEGAL PERSPECTIVE

Conduct a search about the theory of utilitarianism; infectious diseases, such as *Mycobacterium tuberculosis*; and the law. Discuss your findings.

Prima Facie Rights

The term **prima facie** means on one's first impression, or on the face of things; that is, something is accepted as correct until or unless it is shown to be otherwise. For example, promise keeping is considered an accepted ethical rule. However, if a nurse promised her spouse she would be on time for dinner but, as she was about to leave the hospital, she was told the nurse replacing her would be late for work, it is expected that the nurse would break her promise to be on time for dinner so she could attend to her patients until the other nurse arrives.

Prima facie ethics is associated with the philosopher Sir William David Ross (1877–1971) and his 1930 book, *The Right and the Good*. Ross is called an ethical intuitionist because he believed certain things are intrinsically good and self-evidently true. Ross understood ethics to suggest that certain acts are prima facie good—keeping promises, repaying kindnesses, helping others, and preventing distress. However, when these prima facie good actions conflict, one has to decide where one's actual duty lies. Ross conceded that human knowledge is imperfect and the best people can expect to do is use their imperfect knowledge to assess the context of each situation and make an informed judgment, although they are uncertain about the correctness of their choices. Ross's approach to ethics has quite a bit of relevance for nurses who frequently must make quick determinations of how to prioritize important actions that can cause distress for one person while helping another.

Principlism

Principles are rule-based criteria for conduct that naturally flow from the identification of obligations and duties. Consequently, the theory of deontology is a forerunner of the approach of principlism. Principles usually are reducible to concepts or statements, such as the principle of beneficence or respect for a person's autonomy. Often, principles are used as the basis for ethically related documents, such as documents reflecting positions about human rights. Examples of principle-based documents include the American Hospital Association's (2003) *The Patient Care Partnership* and the Universal Declaration of Human Rights, formulated in 1948 by the United Nations. Principlism is discussed in more detail in Chapter 2.

Casuistry

Casuistry is an approach to ethics grounded in Judeo-Christian history. When people use **casuistry**, they make decisions inductively based on individual cases. The analysis and evaluation of strongly similar or outstanding cases (i.e., paradigm cases) provides guidance in ethical decision making. A paradigm case is a benchmark, or landmark, case against which decisions in similar cases are compared and that provides guidance in similar cases.

When people use casuistry, their ethical decision making begins as an inductive, bottom-up approach in considering the details of specific cases rather than beginning from the top down and applying absolute rules and principles. Long ago, Jewish people often tried to sort out the relevance of sacred laws in specific situations in ways that were practical and case based rather than absolute and inflexibly rule based. In Catholic history, the practice of people individually confessing their sins to priests to receive absolution reflects the use of casuistry. Based on the confessor's specific case (i.e., the circumstances surrounding the occasion of sinning) a person receives from the priest a personal penance that is required for absolution.

LEGAL PERSPECTIVE

Search the internet for information on the 1986 Florida legal case *Corbett v. D'Alessandro*. How is the final legal decision in this case related to the ethical approach of casuistry and the later case of *Schindler v. Schiavo*?

Today, casuistry is often the method used by healthcare ethics committees to analyze the ethical issues surrounding specific patient cases. The Four Topics Method of ethical decision making is based on a casuistry approach (see Chapter 2).

Narrative Ethics

Because it is a story-based approach, narrative ethics has similarities to casuistry. Also, according to one of the foremost modern-day virtue ethicists, Alasdair MacIntyre, narrative thinking and virtue ethics are closely connected. Both narrative ethics and virtue ethics are firmly embedded in human relationships. MacIntyre (1984) proposed that a human is “essentially a story-telling animal”; a person is “a teller of stories that aspire to truth” (p. 216). Narratives, such as novels and literary stories, change us in remarkable ways (Murray, 1997). From childhood, most people obtain moral education about character development from stories, such as fairy tales and fables (see **BOX 1-1**). When using a **narrative approach to ethics**, nurses are open to learning from a storied, nuanced view of life; that is, they are sensitive to how personal and community

ETHICAL REFLECTION

Discuss several specific stories in books and movies that have affected your moral views or made an impact on your way of thinking ethically. What are the themes and symbols used in the stories?

BOX 1-1 Narrative Learning

1. Divide into small groups.
2. Choose a children's book from the list below or another similar type of short children's book.
3. Read the book, and as a group, apply the book's message(s) to nursing practice.
4. Share your application with other groups. You might want to develop a creative display or activity to help illustrate your points.

Books:

- *The Little Engine That Could* (Original Classic Edition)
- *The Juice Box Bully*
- *Have You Filled a Bucket Today?*
- *Lacey Walker Nonstop Talker*
- *The Fall of Freddie the Leaf*
- *What If Everybody Did That?*
- *Thanks for the Feedback, I Think*
- *Stone Soup*
- *Old Turtle*
- *The Three Questions*

stories evolve, are constructed, and can be changed. Narratives are stories being lived, read, watched, heard, discussed, analyzed, or compared.

Narratives are context or situation bound. For people to decide what they should do in particular circumstances, they may first identify how their moral character and actions fit within the greater stories of their culture. People are situated within their personal life narratives, and their stories intersect with and are interwoven into the narratives of other people with whom they interact. Nurses who use narrative ethics are aware that there is more to a patient's story than is known or discussed among healthcare providers. People are not solitary creatures, and as they interact with other people and their environment, they must make choices about what they believe and how they will act. They create their own stories.

When using a narrative approach to ethics, nurses realize that individual human stories are being constantly constructed in relation to the stories of a greater community of people. In nursing, a good example of narrative ethics involves nurses with sensitive awareness encountering each patient's unfolding life story in everyday practice. These nurses know that their actions while caring for patients influence the unfolding stories of those patients in both large and small ways. A "narrative approach to bioethics focuses on the patients themselves: these are the moral agents who enact choices" (Charon & Montello, 2002, p. xi). In narrative ethics, patients' and nurses' stories matter; however, no one story should be accepted without critical reflection.

Critical Theory

Critical theory, sometimes referred to as critical social theory, is a broad term identifying theories and worldviews addressing the domination perpetrated by specific powerful groups of people and the resulting oppression of other specific groups of people. There are a number of critical theories included under one broad heading. In citing the group of German philosophers who originated the concept of critical theory, Bohman (2005) stated that critical theories can be distinguished from traditional theories because the purpose of critical theories is to promote human emancipation. Specifically, the purpose of using critical theories is "to liberate human beings from the circumstances that enslave them" (Horkheimer, as cited in Bohman, 2005, para. 1). According to Brookfield (2005), there are three core assumptions in critical theory that explain how the world is organized:

1. That apparently open, Western democracies are actually highly unequal societies in which economic inequity, racism, and class discrimination are empirical realities
2. That the way this state of affairs is reproduced and seems to be normal,

natural, and inevitable (thereby heading off potential challenges to the system) is through the dissemination of dominant ideology

3. That critical theory attempts to understand this state of affairs as a necessary prelude to changing it (p. viii)

One critical theory widely used by nurses is a feminist approach to ethics. Under this broad feminist approach is the ethic of care originating from the Gilligan-Kohlberg debate discussed earlier in this chapter.

Feminist Ethics

According to Tong (1997), "to a greater or lesser degree, all feminist approaches to ethics are filtered through the lens of gender" (p. 37). This means feminist ethics is specifically focused on evaluating ethically related situations in terms of how these situations affect women. The concept of feminist ethics tends to have a political connotation and addresses the patterns of women's oppression as this oppression is perpetrated by dominant social groups, especially socially powerful men.

Ethic of Care. An ethic of care is grounded in the moral experiences of women and feminist ethics. It evolved into an approach to ethics that gained popularity because of the Gilligan-Kohlberg debate about the differences in women's and men's approaches to moral reasoning. Rather than being based on duty, fairness, impartiality, or objective principles (ethic of justice) similar to the values popularized during the Enlightenment era, an **ethic of care** emphasizes the importance of traditionally feminine traits, such as love, compassion, sympathy, and concern about human well-being. The natural partiality in how people care more about some people than others is acknowledged as acceptable in an ethic of care. Also, the role of emotions in moral reasoning and behavior is accepted as a necessary and natural complement to rational thinking. This

position distinguishes an ethic of care from an ethic of justice and duty-based ethics that emphasize the preeminence of reason and minimize the importance of emotion in guiding moral reasoning and the moral nature of one's relationships.

FOCUS FOR DEBATE

Is caring a virtue?

ETHICAL REFLECTION

Review the theories developed by nurse theorists. Which ones are based on an ethic of care?

- Compare and contrast these theories.
- Discuss whether the theories are ethics theories. Defend your rationale.

Eastern Ethics

Ethics in Asian societies has similarities to and important differences from Western ethics. In both cultures, ethics often is intertwined with spiritual or religious thinking, but ethics in Eastern societies is usually indistinguishable from general Eastern philosophies. Both Eastern and Western philosophies of ethics examine human nature and what is needed for people to move toward well-being. However, some of the differences in the two cultural systems are quite interesting and distinct.

Whereas the goal of Western ethics is generally for people to understand themselves personally, the goal of Eastern ethics is often to understand universal interconnections, be liberated from the self, or understand that people really do not consist of a self at all (Zeschner, 2001). Ethics viewed from Christian or other theological perspectives tends to be based on a belief in human flaws that require an intermediary (God) to transcend these imperfections. Eastern ethical systems are usually

focused on individuals' innate but unrecognized perfection and the ability to transcend earthly suffering and dissatisfaction through one's own abilities. Therefore, Eastern ethics is not imposed from outside of a person but instead is imposed from within oneself. Eastern ethics tends to be a discipline of training the mind and includes the concept that unethical behavior leads to karmic results (i.e., the quality of one's actions results in fair consequences according to the universal law of cause and effect). The four largest Eastern ethical systems, which contain myriad variations and now exist in a number of countries, are Indian ethics (Hinduism and Buddhism) and Chinese ethics (Taoism and Confucianism).

Indian Ethics

Hinduism. Hinduism is an ancient ethical system. It originated with writings called the Vedas (ca. 2000 to 1000 BCE), which include magical, religious, and philosophical teachings, and existed long before the well-known ethical philosophy of the ancient Greeks. The main emphasis in Hindu ethics is cosmic unity. Because of reincarnation, people are stuck in *maya*, an illusory, everyday, impermanent experience. The quality of one's past actions, *karma*, influences one's present existence and future incarnations or rebirths. Therefore, people need to improve the goodness of their actions, which will subsequently improve their karma. Liberation, *moksha*, means the soul of each person is no longer reincarnated but becomes one with the desirable cosmic or universal self, *atman*, and the absolute reality of *Brahman*.

Buddhism. The historical Buddha, Siddhartha Gautama (6th century BCE) was a Hindu prince. Because Siddhartha's father wanted to prevent the fulfillment of a prophecy that Siddhartha might become a spiritual teacher, he tried to shield his son from the world outside his palace. However, Siddhartha left the confinement of his palace and saw in his fellow human beings the suffering associated with sickness, old age, and

death. He decided to devote his life to understanding and ending suffering.

In Buddhism, there is no creator God. The Buddha's core teachings, the teachings that all Buddhist sects profess, are called the Four Noble Truths. The First Noble Truth is that unsatisfactoriness or suffering (*dukkha*) exists as a part of all forms of existence. This suffering is different from the common Western notion of physical or mental misery; suffering in a Buddhist sense, for example, arises when people are ego centered and cling to their impermanent existence and impermanent things. Suffering is emphasized in Buddhism not to suggest a negative outlook toward life but instead as a realistic assessment of the human condition. The Second and Third Noble Truths suggest that the cause of suffering is attachment (clinging or craving) to impermanent things and suffering can be transcended (enlightenment). The Fourth Noble Truth contains the path for transforming suffering into enlightenment or liberation. This path is called the Eightfold Path, and it is composed of eight right practices: Right View, Right Thinking, Right Mindfulness, Right Speech, Right Action, Right Diligence, Right Concentration, and Right Livelihood.

ETHICAL REFLECTION

The Buddhist *Avatamsaka Sutra* contains a story about how all perceiving, thinking beings are connected, similar to a universal community. The story is about the heavenly net of the god Indra. "In the heaven of Indra, there is said to be a network of pearls, so arranged that if you look at one you see all the others reflected in it. In the same way each object in the world is not merely itself but involves every other object and in fact is everything else. In every particle of dust there is present Buddhas without number" (*Japanese Buddhism* by Sir Charles Eliot © 2000, Psychology Press [Taylor & Francis]).

How is the story about the net of Indra related to ethics?

Because of the central place of virtues in Buddhist philosophy, one interpretation of Buddhist ethics is to identify Buddhism as an ethic of virtue. There are four virtues singled out by Buddhists as being immeasurable because, when these virtues are cultivated, it is believed they will grow in a way that can encompass and transform the whole world. The Four Immeasurable Virtues are compassion (*karuna*), loving-kindness (*metta*), sympathetic joy (*mudita*), and equanimity (*upekkha*).

Chinese Ethics

The two most influential Chinese ethical systems were developed between 600 and 200 BCE during a time of social chaos in China. The two systems are Taoism and Confucianism.

Taoism. The beginning of Taoism is attributed to Lao-Tzu (ca. 571 BCE), who wrote the Taoist guide to life, the *Tao Te Ching*. The word *tao* is translated to English as *way* or *path*, meaning the natural order or harmony of all things. Like Buddhists, Taoists do not believe in a creator God. Instead, Taoists have a very simple perspective toward reality—the underlying purpose of humans and the underlying purpose of nature cannot be separated. Based on the cyclic nature of life observed by ancient Chinese farmers, Taoist philosophy underscores the flux and balance of nature through *yin* (dark) and *yang* (light) elements. Living well or ethically is living authentically, simply, and unselfishly in harmony and oneness with nature.

Confucianism. K'ung Fu-tzu (551–479 BCE), who was later called Confucius by Christians visiting China, originated the Confucian ethical system. The teachings of Confucian ethics are generally contained in the moral maxims and sayings attributed to K'ung Fu-tzu along with the later writings of his followers. Confucian ethics is described through the concepts of *li* and *yi* (Zeuschner, 2001). *Li* provides guidance in regard to social order and how humans should relate to one another, including

rules of etiquette, such as proper greetings and social rituals. Yi emphasizes the importance of one's motivations toward achieving rightness rather than emphasizing consequences. Sincerity, teamwork, and balance are critically important to ethical behavior. The primary virtue

of Confucian ethics is *jen*, which is translated to English as benevolence or human goodness. Overall, Confucianism is a communitarian ethical system in which social goals, the good of society, and the importance of human relationships are valued.

KEY POINTS

- Ethics refers to the analysis of matters of right and wrong, whereas morals refer to actual beliefs and behaviors. However, the terms often are used interchangeably.
- Values refer to judgments about what one believes is good or makes something desirable. Values influence how a person's character develops and people think and subsequently behave.
- Normative ethics is an attempt to decide or prescribe values, behaviors, and ways of being that are right or wrong, good or bad, admirable or dishonorable. When doing normative ethics, people ask questions such as "How ought humans behave?" "What should I do?" and "What sort of person should I be?"
- Ethical thinking, valuing, and reasoning generally fall along a continuum between ethical relativism and ethical objectivism.
- The study of values and ways of moral reasoning throughout history can be useful for people living in the 21st century. Specific values and ways of moral reasoning tend to overlap and converge over time.
- Virtue ethics emphasizes the excellence of one's character.
- Deontological ethics emphasizes one's duty rather than the consequences of one's actions.
- Utilitarian ethics emphasizes the consequences of one's actions in regard to achieving the most good for the most people affected by a rule or action.
- Eastern philosophies and systems of ethics often are inseparable.

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