



Musée du Louvre, Paris, France. Photo: René-Gabriel Ojeda/© RMN-Grand Palais/Art Resource, NY

CHAPTER 2

First Civilizations

Cities, States, and Unequal Societies

3500 B.C.E.–600 B.C.E.

Something New: The Emergence of Civilizations

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Reflections: “Civilization”: What’s in a Word?

“Sometimes the weight of civilization can be overwhelming. The fast pace . . . the burdens of relationships . . . the political strife . . . the technological complexity — it’s enough to make you dream of escaping to a simpler life more in touch with nature.”¹ Found on the website of an organization called Mother Nature Network, this expression of discontent with modernity, written in 2010, reflects the perspectives of the back-to-the-land movement that began in the mid-1960s as an alternative to the pervasive materialism of modern life. Growing numbers of urban dwellers, perhaps as many as a million in North America, exchanged their busy city lives for a few acres of rural land and a very different way of living.

This urge to “escape from civilization” has long been a central feature in modern life. It found expression in Henry David Thoreau’s musings on his sojourn at Walden Pond. It is a large part of the “cowboy” image in American culture, and it permeates environmentalist efforts to protect the remaining wilderness areas of the country. Nor has this impulse been limited to modern societies and the Western world. The ancient Chinese teachers of Daoism likewise urged their followers to abandon the structured and demanding world of urban and civilized life and to immerse themselves in the eternal patterns of the natural order. It is a strange paradox that we count the creation of civilizations among the major achievements of humankind and yet people within them have often sought to escape the constraints, artificiality, hierarchies, and other discontents of civilized living. ■

« **Raherka and Mersankh** Writing was among the defining features of civilizations almost everywhere. In ancient Egyptian civilization, the scribes who possessed this skill enjoyed both social prestige and political influence. This famous statue shows Raherka, an “inspector of the scribes” during Egypt’s Fifth Dynasty (ca. 2350 B.C.E.), in an affectionate pose with his wife, Mersankh.

So what exactly are these civilizations that have generated such ambivalent responses among their inhabitants? When, where, and how did they first arise in human history? What changes did they bring to the people

who lived within them? Why might some people criticize or seek to escape from them?

As historians commonly use the term, “civilization” represents a new and particular type of human society, made possible by the immense productivity of the Agricultural Revolution. Such societies encompassed far larger populations than any earlier form of human community and for the first time concentrated some of those people in sizable cities. Both within and beyond these cities, people were organized and controlled by states whose leaders could use force to compel obedience. Profound differences in economic function, skill, wealth, and status sharply divided the people of civilizations, making them far less equal and subject to much greater oppression than had been the case in earlier Paleolithic communities, agricultural villages, pastoral societies, or chiefdoms. Pyramids, temples, palaces, elaborate sculptures, written literature, and complex calendars, as well as more elaborate class and gender hierarchies, slavery, and large-scale warfare—all of these have been among the cultural products of civilization.

SEEKING THE MAIN POINT

What distinguished “civilizations” from earlier Paleolithic and Neolithic societies? And in what ways did these “civilizations” differ from one another?

Something New: The Emergence of Civilizations

Like agriculture, civilization was a global phenomenon, showing up independently in seven major locations scattered around the world during the several millennia after 3500 B.C.E. and in a number of other smaller expressions as well (see Map 2.1). In the long run of human history, these civilizations—small breakthroughs to new city- and state-based societies—gradually absorbed, overran, or displaced people practicing other ways of living. Over the next 5,000 years, civilization, as a unique kind of human community, gradually encompassed ever-larger numbers of people and extended over ever-larger territories, even as particular civilizations rose, fell, revived, and changed.

Introducing the First Civilizations

The earliest of these civilizations emerged around 3500 B.C.E. to 3000 B.C.E. in three places. One was the “cradle” of Middle Eastern civilization, expressed in the many and competing city-states of **Sumer**. Sumer was located in the southern reaches of Mesopotamia, a term referring to the region between the Tigris and Euphrates rivers, mostly in present-day Iraq. Much studied by archeologists and historians, Sumerian civilization likely gave rise to the world’s earliest written language, which was used initially by officials to record the goods received by various temples. Later, Sumerian cities were absorbed into the larger empires of Akkad, Babylon, and Assyria, which encompassed much of Mesopotamia. Almost simultaneously, the Nile River valley in northeastern Africa witnessed the emergence

Guided Reading Question

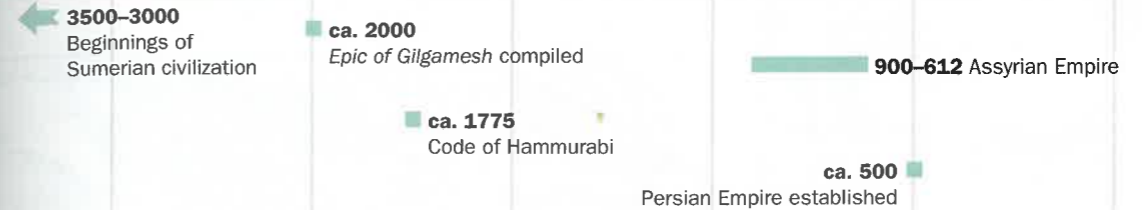
■ CHANGE

When and where did the First Civilizations emerge?

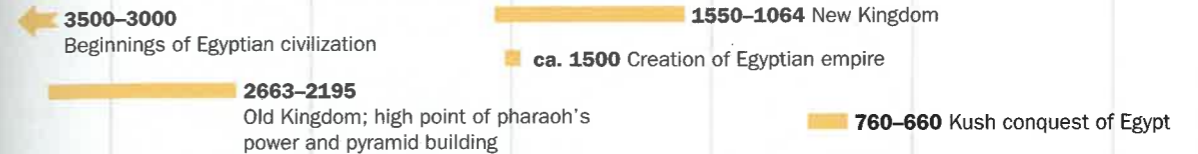
Landmarks for Chapter 2*

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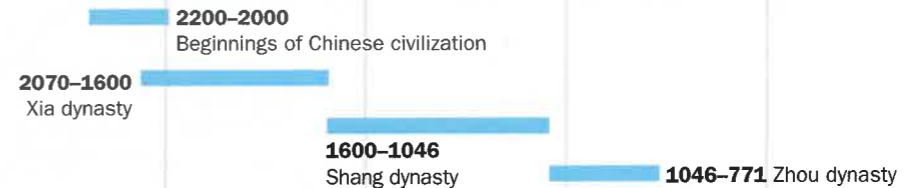
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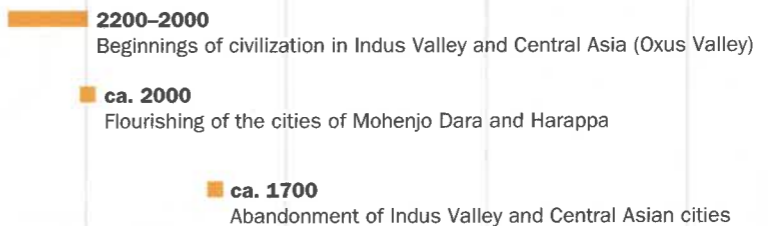
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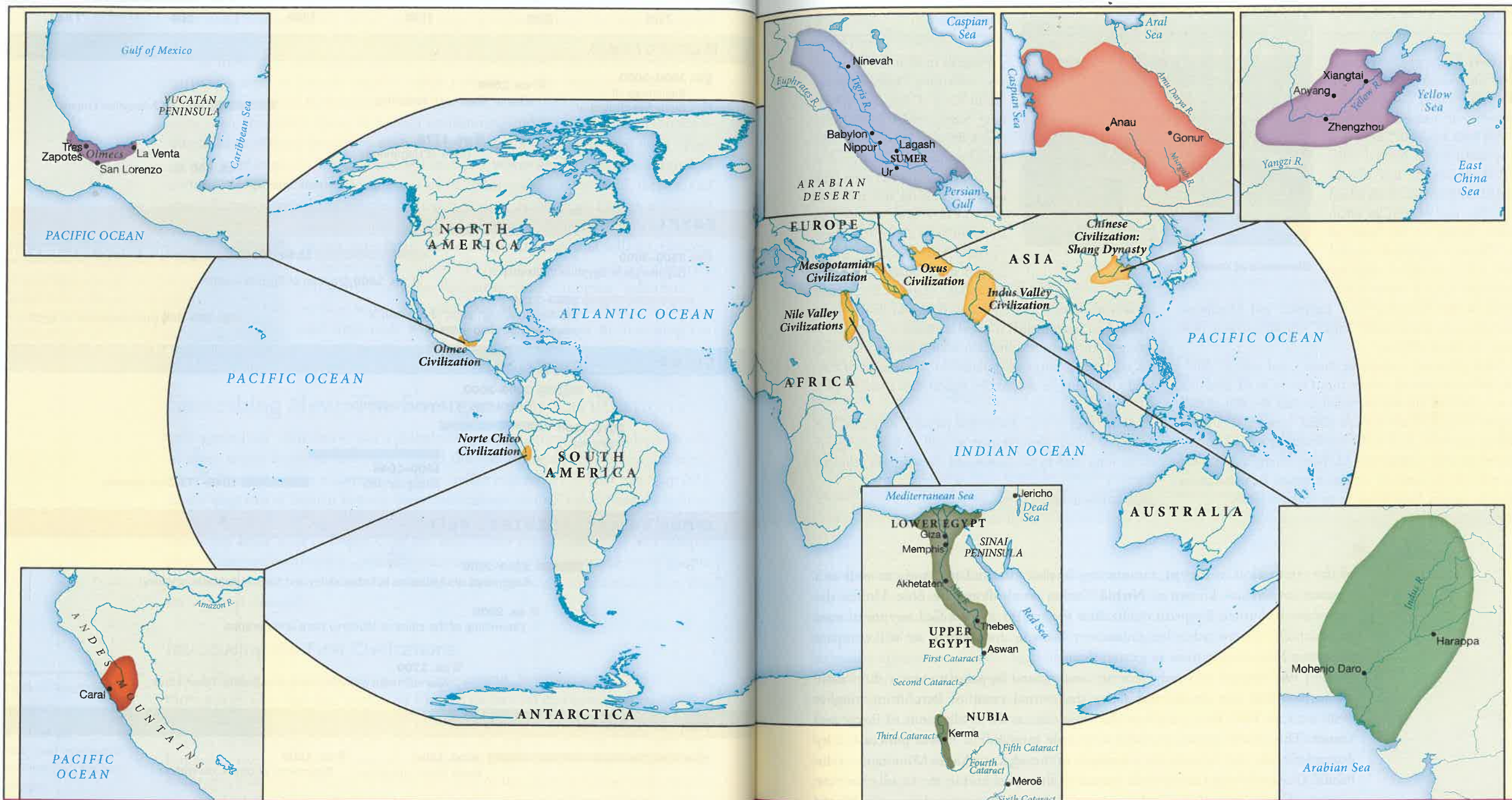
INDUS VALLEY / CENTRAL ASIA



THE AMERICAS



*All dates are B.C.E. or Before the Common Era, and all dates are approximate.



MAPPING HISTORY

Map 2.1 First Civilizations

Seven First Civilizations emerged independently in locations scattered across the planet, all within a few thousand years, from 3500 to 600 B.C.E. ➔

READING THE MAP: Which First Civilizations both emerged along major river valleys and built major urban centers along those rivers?

MAKING CONNECTIONS: Compare this map with Map 1.3, which shows areas where agriculture emerged independently. To what extent did First Civilizations take shape in the same regions where agriculture also emerged?

Caral, a City of Norte Chico

In early 2001, published reports of archeological excavations at a site called Caral set off a firestorm of amazed commentary in both academic circles and the popular media. And no wonder! Archeologists had uncovered, about fourteen miles inland from the coast of Peru, an urban center dating to the time of the Egyptian pyramids, around 2600 B.C.E., far earlier than any previously known urban settlements in the Americas. Thus Egyptian and Mesopotamian claims to the status of “First Civilizations” were now joined by those of Peru.

Most obviously impressive about Caral was its elaborate and monumental architecture. One of its six pyramids stood sixty feet tall and occupied an area the size of four football fields. Circular sunken plazas, temples, an amphitheater, stairways, and many residential spaces, including apartment-style complexes, likewise emerged from the excavations. An intricate irrigation system funneled water from the nearby Supe River to agricultural fields.



Stonework at Caral.

Smaller finds at the site convey something about ordinary life in Caral. A clay figure with long hair, a colorful costume, and a decorative necklace suggested a shaman, who served as an intermediary between the gods and humans at Caral. The skeleton of a baby, wrapped in layers of fine cloth, had been carefully buried with a necklace of stone beads. Dozens of beautifully carved flutes and

cornets made of condor, pelican, deer, and llama bones revealed an instrumental musical tradition. A *quipu*, consisting of knotted cords and later widely used by the Incas for accounting purposes, pointed to an element of cultural continuity in the Andes region that spanned over 4,000 years.

Archeologists also discovered remains of plants that enhanced sexual performance, as well as hallucinogenic drugs, long used in the Andes and elsewhere in religious

photo: Richard Hيرانo/El Comercio de Peru/Newscom

of the civilization of **Egypt**, famous for its pharaohs and pyramids, as well as a separate civilization known as **Nubia**, farther south along the Nile. Unlike the city-states of Sumer, Egyptian civilization took shape as a unified territorial state in which cities were rather less prominent. Later in this chapter, we will compare these two First Civilizations in greater detail.

Less well known and only recently investigated by scholars was a third early civilization that was developing along the central coast of Peru from roughly 3000 B.C.E. to 1800 B.C.E., at about the same time as the civilizations of Egypt and Sumer. This desert region received very little rainfall, but it was punctuated by dozens of rivers that brought the snowmelt of the adjacent Andes Mountains to the Pacific Ocean. Along a thirty-mile stretch of that coast and in the nearby interior, a series of some twenty-five urban centers emerged in an area known as **Norte Chico**, the largest of which was **Caral**, in the Supe River valley. (See Zooming In: Caral, a City of Norte Chico, above.)

Norte Chico was a distinctive civilization in many ways. Its cities were smaller than those of Sumer and show less evidence of economic specialization.

ceremonies. A possible hint about Caral’s religious outlook derives from a drawing etched on a gourd, which shows a sharp-toothed figure wearing a hat and holding long sticks or rods in each hand. It clearly resembles the Staff God prevalent in later Andean civilizations. And the remains of a young man found in a ceremonial place suggest the possibility of human sacrifice.

Nor was Caral an isolated instance of urban living. More than twenty other related sites in the river valleys of the area make up what scholars are now calling the Norte Chico civilization. Caral and other inland cities had close relationships with coastal communities, exchanging their agricultural products such as cotton, beans, squash, and sweet potatoes for sardines and anchovies, whose bones have been found in abundance in Caral. Goods from as far away as present-day Ecuador, the high Andes, and the rain forests that lay to the east suggest a network of wider relationships.

But what was missing from Caral has proved equally intriguing. Grain-based agriculture, pottery, metallurgy,



The bone flutes found at Caral.

thousand years of peace,” as one of the lead excavators suggested? Was trade rather than warfare the stimulus to creating a complex society? Perhaps so, but it is early in the study of this distinctive civilization.

QUESTIONS

In what ways do recent discoveries at Caral invite reconsideration of Andean civilization and of the history of the earliest civilizations generally? What do you find most surprising about Caral?

photo: © George Steinmetz

The economy was based to an unusual degree on an extremely rich fishing industry in anchovies and sardines along the coast. These items apparently were exchanged for cotton, essential for fishing nets, as well as food crops such as squash, beans, and guava, all of which were grown by inland people in the river valleys using irrigation agriculture. Unlike Egyptian and Sumerian societies, this Peruvian civilization did not rest on grain-based farming; its people did not develop pottery or writing; and few sculptures, carvings, or drawings have been uncovered so far. Furthermore, the cities of Norte Chico lacked defensive walls, and archeologists have discovered little evidence of warfare, such as burned buildings and mutilated corpses. Norte Chico apparently “lighted a cultural fire” in the Andes and established a pattern for the many Andean civilizations that followed—Chavín, Moche, Wari, Tiwanaku, and Inca.²

Somewhat later, at least four additional First Civilizations made their appearance. In the Indus and Saraswati river valleys of what is now Pakistan, a remarkable civilization arose during the third millennium B.C.E. By 2000 B.C.E., it embraced a far larger area than Sumer, Egypt, or coastal Peru and was expressed primarily in

and writing—all features of urban life in Egypt and Mesopotamia—were noticeably absent in Caral. Do we therefore need to revisit the criteria for defining a “First Civilization”? Warfare too apparently played little role in Caral, as no walls, fortresses, weapons, or signs of violent destruction have appeared in the archeological record. Does this mean that Caral uniquely enjoyed “a

its elaborately planned cities. All across this huge area, common patterns prevailed: standardized weights, measures, architectural styles, even the size of bricks. As elsewhere, irrigated agriculture provided the economic foundation for the civilization, and a written language, thus far undeciphered, provides evidence of a literate culture for the few.

Unlike its Middle Eastern counterparts, the **Indus Valley civilization** apparently generated no palaces, temples, elaborate graves, kings, or warrior classes. In short, the archeological evidence provides little indication of a political hierarchy or centralized state. This absence of evidence has sent scholars scrambling to provide an explanation for the obvious specialization, coordination, and complexity that the Indus Valley civilization exhibited. A series of small republics, rule by priests, an early form of the caste system—all of these have been suggested as alternative mechanisms of integration in this first South Asian civilization. Although no one knows for sure, the possibility that the Indus Valley may have housed a sophisticated civilization without a corresponding state has excited the imagination of scholars. (See Working with Evidence: Indus Valley Civilization, page 84.)

Whatever its organization, the local environmental impact of the Indus Valley civilization, as of many others, was heavy and eventually undermined its ecological foundations. Repeated irrigation increased the amount of salt in the soil and lowered crop yields. The making of mud bricks, dried in ovens, required an enormous amount of wood for fuel, generating large-scale deforestation and soil erosion. Thus environmental degradation contributed significantly to the abandonment of these magnificent cities by about 1700 B.C.E. Nonetheless, many features of this early civilization—ceremonial bathing, burning of incense,

ritual fire altars, yoga positions, bulls and elephants as religious symbols, styles of clothing and jewelry—continued to nourish the later civilization of the Indian subcontinent.³

The early Chinese civilization, dating to perhaps 2200 B.C.E., was very different from that of the Indus Valley. The ideal—if not always the reality—of a centralized state was evident from the days of the Xia (shyah) dynasty (2070–1600 B.C.E.), whose legendary monarch Wu organized flood control projects that “mastered the waters and made them to flow in great channels.” Subsequent dynasties—the Shang (1600–1046 B.C.E.) and the Zhou (JOH) (1046–771 B.C.E.)—substantially enlarged the Chinese state, erected lavish tombs for their rulers, and buried thousands of

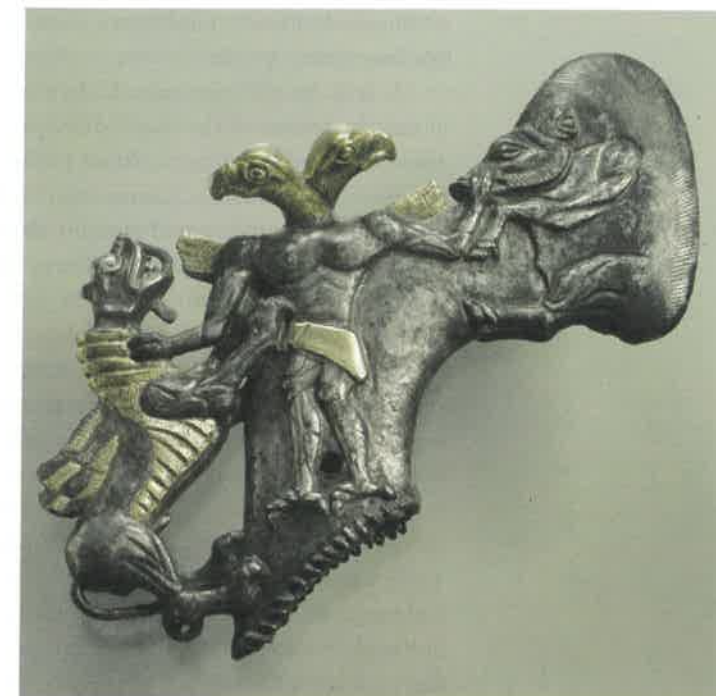


Shang Dynasty Bronze This bronze tiger, created around 1100 B.C.E., illustrates Chinese skill in working with bronze and the mythological or religious significance of the tiger as a messenger between heaven and the human world. (© Martha Avery/Getty Images)

human sacrificial victims to accompany them in the next world. By the Zhou dynasty, a distinctive Chinese political ideology had emerged, featuring a ruler, known as the Son of Heaven. This monarch served as an intermediary between Heaven and Earth and ruled by the Mandate of Heaven only so long as he governed with benevolence and maintained social harmony among his people. This civilization also had writing; an early form of written Chinese has been discovered on numerous oracle bones, which were intended to predict the future and to assist China’s rulers in the task of governing. Like Egypt, China has experienced an impressive continuity of identity as a distinct civilization from its earliest expression into modern times.

Central Asia was the site of yet another First Civilization. In the Oxus or Amu Darya River valley and nearby desert oases (what is now northern Afghanistan and southern Turkmenistan), a quite distinctive and separate civilization took shape very quickly after 2200 B.C.E.

Within two centuries, a number of substantial fortified centers had emerged, containing residential compounds, artisan workshops, and temples, all surrounded by impressive walls and gates. Economically based on irrigation agriculture and stock raising, this **Central Asian** or **Oxus civilization** had a distinctive cultural style, expressed in its architecture, ceramics, burial techniques, seals, and more, though it did not develop a literate culture. Evidence for an aristocratic social hierarchy comes from depictions of gods and men in widely differing dress performing various functions, from eating at a banquet to driving chariots to carrying heavy burdens. Visitors to this civilization would have found occasional goods from China, India, and Mesopotamia, as well as products from pastoral nomads of the steppe land and the forest dwellers of Siberia. According to a leading historian, this Central Asian civilization was the focal point of a “Eurasian-wide system of intellectual and commercial exchange.”⁴ Compared to Egyptian and Mesopotamian civilizations, however, it had a relatively brief history, for by 1700 B.C.E., it had faded away as a civilization, at about the same time as a similar fate befell its Indus Valley counterpart. Its cities were abandoned and apparently forgotten until their resurrection by archeologists in the twentieth century. And yet its influence persisted, as elements



An Oxus Valley Axe Head Dating to around 2000 B.C.E., this exquisitely wrought axe head derives from the Oxus Valley civilization. It features in the center a heroic human figure with a bird’s head and talons fighting with a wild boar on the upper right and a winged dragon on the lower left. (The Metropolitan Museum of Art, New York, NY, USA/Purchase, Harris Brisbane Dick Fund and James N. Spear and Schimmel Foundation Inc. Gifts, 1982/agefotostock Art Collection/AGE Fotostock)

of this civilization's cultural style show up much later in Iran, India, and the eastern Mediterranean world.

A final First Civilization, known as the Olmec, took shape around 1200 B.C.E. along the coast of the Gulf of Mexico near present-day Veracruz in southern Mexico. Based on an agricultural economy of maize, beans, and squash, Olmec cities arose from a series of competing chiefdoms and became ceremonial centers filled with elaborately decorated temples, altars, pyramids, and tombs of rulers. The most famous artistic legacy of the Olmecs lay in some seventeen colossal basalt heads, weighing twenty tons or more (see the “Olmec Head” image, page 73). Recent discoveries suggest that the Olmecs may well have created the first written language in the Americas by about 900 B.C.E. Sometimes regarded as the “mother civilization” of Mesoamerica, **Olmec civilization** generated cultural patterns—mound building, artistic styles, urban planning, a game played with a rubber ball, ritual sacrifice, and bloodletting by rulers—that spread widely throughout the region and influenced subsequent civilizations, such as the Maya and Teotihuacán.

Beyond these seven First Civilizations, other smaller civilizations also flourished. Lying south of Egypt in the Nile Valley, an early Nubian civilization (3400–3200 B.C.E.) known as Ta-Seti was clearly distinctive and independent of its northern neighbor, although Nubia was later involved in a long and often contentious relationship with Egypt. Likewise in China, a large city known as Sanxingdui, rich in bronze sculptures and much else, arose separately but at the same time as the more well-known Shang dynasty. As a new form of human society, civilization was beginning its long march toward encompassing almost all of humankind by the twentieth century. At the time, however, these breakthroughs to new forms of culture and society were small islands of innovation in a sea of people living in much older ways.

The Question of Origins

Scholars of all kinds—archeologists, anthropologists, sociologists, and historians—have been arguing about the origins of civilization for a very long time, with no end in sight. Amid all the controversy, one thing seems reasonably clear: civilizations had their roots in the Agricultural Revolution. That is the reason they appeared so late in the human story, for only an agricultural technology permitted human communities to produce sufficient surplus to support large populations and the specialized or elite minorities who did not themselves produce food. But not all agricultural societies or chiefdoms developed into civilizations, so something else must have been involved. It is the search for this “something else” that has provoked such great debate among scholars.

The need to organize large-scale irrigation projects, growing populations, the desire to protect favored groups, the stimulus of trade, the demands of warfare—all of these have figured in the debate about the origins of civilization. Geography surely played a role as well, for civilizations often took shape in biologically rich and productive environments such as wetlands, estuaries, and river basins. Anthropologist Robert

Carneiro combined several of these factors in a thoughtful approach to the question. He argued that a growing density of population, producing more congested and competitive societies, was a fundamental motor of change, especially in areas where rich agricultural land was limited, either by geography (oceans, deserts, mountains) or by powerful neighboring societies. Such settings provided incentives for innovations, such as irrigation or plows that could produce more food, because opportunities for territorial expansion were not readily available. But circumscribed environments with dense populations also generated intense competition among rival groups, which led to repeated warfare. A strong and highly organized state was a decided advantage in such competition. Because losers could not easily flee to new lands, they were absorbed into the winner's society as a lower class. Successful leaders of the winning side emerged as elites with an enlarged base of land, a class of subordinated workers, and a powerful state at their disposal—in short, a civilization.⁵

Although such a process was relatively rapid by world history standards, it took many generations, centuries, or perhaps millennia to evolve. It was, of course, an unconscious undertaking in which the participants had little sense of the long-term outcome as they coped with the practical problems of life on a day-to-day basis. What is surprising, though, is the rough similarity of the outcome in many widely separated places from about 3500 B.C.E. to the beginning of the Common Era.

However they got started (and much about this is still guesswork), the First Civilizations, once established, represented a very different kind of human society than anything that came before. All of them were based on highly productive agricultural economies. Various forms of irrigation, drainage, terracing, and flood control enabled these early civilizations to tap the food-producing potential of their regions more intensively. All across the Afro-Eurasian hemisphere, though not in the Americas, animal-drawn plows and metalworking greatly enhanced the productivity of farming. Ritual sacrifice, sometimes including people, accompanied the growth of civilization, and the new rulers normally served as high priests, their right to rule legitimated by association with the sacred.

An Urban Revolution

It was the resources from agriculture that made possible one of the most distinctive features of the First Civilizations—cities. What would an agricultural villager have made of **Uruk**, ancient Mesopotamia's largest city? Uruk had walls more than twenty feet tall and a population around 50,000 in the third millennium B.C.E. At the city's center, visible for miles around, was a stepped pyramid, or ziggurat, topped with a temple (see the photo of a ziggurat on page 71). Inside the city, this village visitor would have found other temples as well, serving as centers of ritual performance and as places for the redistribution of stored food. Numerous craftspeople labored as masons, copper workers, and weavers and in many other specialties, while bureaucrats helped administer the city. It was, surely, a “vibrant, noisy, smelly, sometimes bewildering and dangerous, but also exciting place.”⁶ Here is how the

Guided Reading Question

■ CHANGE

What accounts for the initial breakthroughs to civilization?

Epic of Gilgamesh, Mesopotamia's ancient epic poem dating to around 2000 B.C.E., describes the city:

Come then, Enkidu, to ramparted Uruk, / Where fellows are resplendent in
 holiday clothing,
 Where every day is set for celebration, / Where harps and drums are played.
 And the harlots too, they are fairest of form, / Rich in beauty, full of delights,
 Even the great gods are kept from sleeping at night.⁷

Guided Reading Question

■ CHANGE

What was the role of cities in the early civilizations?

Equally impressive to a village visitor would have been the city of **Mohenjo Daro** (moe-hen-joe DAHR-oh), which flourished along the banks of the Indus River around 2000 B.C.E. With a population of perhaps 40,000, Mohenjo Daro and its sister city of **Harappa** featured large, richly built houses of two or three stories, complete with indoor plumbing, luxurious bathrooms, and private wells. Streets were laid out in a grid-like pattern, and beneath the streets ran a complex sewage system. Workers lived in row upon row of standardized two-room houses. Grand public buildings, including what seems to be a huge public bath, graced the city, while an enormous citadel was surrounded by a brick wall some forty-five feet high.

Even larger, though considerably later, was the Mesoamerican city of Teotihuacán (tay-uh-tee-wah-KAHN), located in the central valley of Mexico. It housed perhaps 150,000 people in the middle of the first millennium C.E. Broad avenues, dozens of temples, two huge pyramids, endless stone carvings and many bright frescoes, small apartments for the ordinary, palatial homes for the wealthy—all of this must have seemed another world for a new visitor from a distant village. In shopping for

obsidian blades, how was she to decide among the 350 workshops in the city? In seeking relatives, how could she find her way among many different compounds, each surrounded by a wall and housing a different lineage? And what would she make of a neighborhood composed entirely of Maya merchants from the distant coastal lowlands?

Cities, then, were central to most of the First Civilizations, though to varying degrees. They were political/administrative capitals; they functioned as centers for the production of culture, including art, architecture, literature, ritual, and ceremony; they served as marketplaces for both local and long-distance exchange; and they housed most manufacturing activity. Everywhere they generated a unique kind of society, compared to earlier agricultural villages or Paleolithic camps. Urban society was impersonal, for it was no



A Mask from Teotihuacán This mask illustrates the kind of facial adornment—a nose pendant and ear spools—often worn by members of the nobility in Teotihuacán. (Museo Nacional de Antropología, Mexico City, Mexico/Bridgeman Images)

longer possible to know everyone. Relationships of class and occupation emerged alongside those of kinship and village loyalty. Most notably, the degree of specialization and inequality far surpassed that of all preceding human communities.

The Erosion of Equality

Among the most novel features of early urban life, at least to our imaginary village visitor, was the amazing specialization of work outside of agriculture—scholars, officials, merchants, priests, and artisans of all kinds. In ancient Sumer, even scribes were subdivided into many categories: junior and senior scribes, temple scribes and royal scribes, scribes for particular administrative or official functions. None of these people, of course, grew their own food; they were supported by the highly productive agriculture of farmers.

Hierarchies of Class

Alongside the occupational specialization of the First Civilizations lay their vast inequalities—in wealth, status, and power. As ingenuity and technology created more productive economies, the greater wealth now available was everywhere piled up rather than spread out. Early signs of this erosion of equality were evident in the more settled and complex gathering and hunting societies and in agricultural chiefdoms, but the advent of urban-based civilizations multiplied and magnified these inequalities many times over, as the more egalitarian values of earlier cultures were everywhere displaced. This transition represents one of the major turning points in the social history of humankind.

As the First Civilizations took shape, inequality and hierarchy soon came to be regarded as normal and natural. Upper classes everywhere enjoyed great wealth in land or salaries, were able to avoid physical labor, had the finest of everything, and occupied the top positions in political, military, and religious life. Frequently, they were distinguished by the clothing they wore, the houses they lived in, and the manner of their burial. Early Chinese monarchs bestowed special robes, banners, chariots, weapons, and ornaments on their regional officials, and all of these items were graded according to the officials' precise location in the hierarchy. In the Babylonian Empire the punishments prescribed in the famous **Code of Hammurabi** (hahm-moo-RAH-bee) (ca. 1775 B.C.E.) depended on social status. A free-born commoner who struck a person of equal rank had to pay a small fine, but if he struck "a man who is his superior, he [would] receive 60 strokes with an oxtail whip in public." Clearly, class had consequences.

In all of the First Civilizations, free commoners represented the vast majority of the population and included artisans of all kinds, lower-level officials, soldiers and police, servants, and, most numerous of all, farmers. It was their surplus production—appropriated through a variety of taxes, rents, required labor, and tribute payments—that supported the upper classes. At least some of these people were aware of, and resented, these forced extractions and their position in the social hierarchy.

Guided Reading Question

■ CHANGE

In what ways was social inequality expressed in early civilizations?

Most Chinese peasants, for example, owned little land of their own and worked on plots granted to them by royal or aristocratic landowners. An ancient poem compared the exploiting landlords to rats and expressed the farmers' vision of a better life:

Large rats! Large rats! / Do not eat our spring grain!
 Three years have we had to do with you. / And you have not been willing to
 think of our toil.
 We will leave you, / And go to those happy borders.
 Happy borders, happy borders! / Who will there make us always to groan?⁸

At the bottom of social hierarchies everywhere were slaves. Evidence for slavery dates to well before the emergence of civilization and was clearly present in some gathering and hunting societies and early agricultural communities. But the practice of “people owning people” flourished on a larger scale in urban- and state-based civilizations. Female slaves, captured in the many wars among rival Mesopotamian cities, were put to work in large-scale semi-industrial weaving enterprises, while males helped to maintain irrigation canals and construct ziggurats. Others worked as domestic servants in the households of their owners. In all of the First Civilizations,

slaves—derived from prisoners of war, criminals, and debtors—were available for sale; for work in the fields, mines, homes, and shops of their owners; or on occasion for sacrifice. From the days of the earliest civilizations until the nineteenth century, slavery was everywhere an enduring feature of these more complex societies.

Its practice in ancient times, however, varied considerably from place to place. Egypt and the Indus Valley civilizations initially had far fewer slaves than did Mesopotamia, which was highly militarized. Later, the Greeks of Athens and the Romans employed slaves far more extensively than did the Chinese or Indians (see “The Making of Roman Slavery” in Chapter 5). Furthermore, most ancient slavery differed from the type of slavery practiced in the Americas during recent centuries: in the early civilizations, slaves were not a primary agricultural labor force; many children of slaves could become free people; and slavery was not associated primarily with “blackness” or with Africa.

Hierarchies of Gender

No divisions of human society have held greater significance for the lives of individuals than those of sex and gender. Sex describes the obvious biological differences between males and females. More important to



War and Slavery This Mesopotamian victory monument, dating to about 2200 B.C.E., shows the Akkadian ruler Naram-Sin crushing his enemies. Prisoners taken in such wars were a major source of slaves in the ancient world. (Musée du Louvre, Paris, France/Bridgeman Images)

historians, however, has been gender, which refers to the many and varied ways that cultures have assigned meaning to those sexual differences. To be gendered as masculine or feminine defines the roles and behavior considered appropriate for men and women in every human community. At least since the emergence of the First Civilizations, and in some cases even earlier, gender systems have supported **patriarchy**, which refers to a social system in which women have been made markedly subordinate to men in the family and in society generally. The inequalities of gender, like those of class, decisively shaped the character of the First Civilizations and of those that followed.

The patriarchal ideal regarded men as superior to women and sons preferable to daughters. Men had legal and property rights unknown to most women. Public life in general was associated with masculinity, which defined men as rulers, warriors, scholars, and heads of households. Women's roles—both productive and reproductive—took place in the home, mostly within a heterosexual family, where women were defined largely by their relationship to a man: as a daughter, wife, mother, or widow. Frequently men could marry more than one woman and claim the right to regulate the social and sexual lives of the wives, daughters, and sisters in their families. Widely seen as weak but feared as potentially disruptive, women required both the protection and control of men.

For men and women alike, gender and class intersected to shape the lives of individuals. Most men, of course, were far from prominent and exercised little power, except perhaps over the women and children of their own families. Upper-class women often experienced a privileged but highly restricted life, for they were largely limited to the home and the management of servants or slaves. By contrast, the vast majority of women always had to be out in public, working in the fields, tending livestock, buying and selling in the streets, or serving in the homes of their social superiors. A few women also operated in roles defined as masculine, acting as rulers, priests, and scholars, while others pushed against the limits and restrictions assigned to women. But most women no doubt accepted their assigned roles, unable to imagine anything approaching gender equality, even as most men genuinely believed that they were protecting and providing for their women.

The big question for historians lies in trying to explain the origins of this kind of pervasive patriarchy. Clearly it was neither natural nor of long standing. For millennia beyond measure, gathering and hunting societies had developed gender systems without the sharp restrictions and vast inequalities that characterized civilizations. Early farming societies, those using a hoe or digging stick for cultivation, continued the relative gender equality that had characterized Paleolithic peoples. What was it, then, about civilization that seemed to generate a more explicit and restrictive patriarchy?

One approach to answering this question highlights the role of a new and more intensive form of agriculture, involving the use of animal-drawn plows and the keeping and milking of large herds of animals. Unlike earlier farming practices that relied on a hoe or digging stick, plow-based agriculture meant heavier work, which men were better able to perform. Taking place at a distance from the village, this

Guided Reading Question

■ CHANGE

In what ways have historians tried to explain the origins of patriarchy?

new form of agriculture was perhaps less compatible with women's primary responsibility for child rearing and food preparation. Furthermore, the growing population of civilizations meant that women were more often pregnant and thus more deeply involved in child care than before. Hence, in plow-based communities, men took over most of the farming work, and the status of women declined correspondingly, even though their other productive activities—weaving and food preparation, for example—continued. “As women were increasingly relegated to secondary tasks,” writes archeologist Margaret Ehrenberg, “they had fewer personal resources with which to assert their status.”⁹ But in much of Africa, all of the agricultural areas of the Americas, and parts of Southeast Asia, hoe-based farming persisted and with it, arguably, less restrictive lives for women.

Women have long been identified not only with the home but also with nature, for they are central to the primordial natural process of reproduction. But civilization seemed to highlight culture, or the human mastery of nature, through agriculture, monumental art and architecture, and creation of large-scale cities and states. Did this mean, as some scholars have suggested, that women were now associated with an inferior dimension of human life (nature), while men assumed responsibility for the higher order of culture?¹⁰

Warfare and professionally led armies, central to many of the First Civilizations, surely contributed to patriarchy. With military service largely restricted to men, its growing prominence in the affairs of civilizations enhanced the values, power, and prestige of a male warrior class and cemented the association of masculinity with organized violence and with the protection of society, especially its women.

Private property and commerce, also prominent among the First Civilizations, may have helped to shape early patriarchies. Without sharp restrictions on women's sexual activity, how could a father be certain that family property would be inherited by his offspring? In addition, the buying and selling associated with commerce were soon applied to male rights over women, as female slaves, concubines, and wives were exchanged among men.

Patriarchy in Practice

Whatever the precise origins of patriarchy, women's subordination permeated the First Civilizations, marking a gradual change from the more equal relationships of men and women within agricultural villages or Paleolithic bands. By the second millennium B.C.E. in Mesopotamia, various written laws codified and sought to enforce a patriarchal family life that offered women a measure of paternalistic protection while insisting on their submission to the unquestioned authority of men. Central to these laws was the regulation of female sexuality. A wife caught sleeping with another man might be drowned at her husband's discretion, whereas he was permitted to enjoy sexual relations with his female servants, though not with another man's wife. Divorce was far easier for the husband than for the wife. Rape was a serious offense, but the injured party was primarily the father or the husband

of the victim, rather than the violated woman herself. While wealthy women might own and operate their own businesses or act on behalf of their powerful husbands, they too saw themselves as dependent. “Let all be well with [my husband],” prayed one such wife, “that I may prosper under his protection.”¹¹

Furthermore, women in Mesopotamian civilization were sometimes divided into two sharply distinguished categories. Under an Assyrian law code that was in effect between the fifteenth and eleventh centuries B.C.E., respectable women, those under the protection and sexual control of one man, were required to be veiled when outside the home, whereas nonrespectable women, such as slaves and prostitutes, were forbidden to wear veils and were subject to severe punishment if they presumed to cover their heads.

Finally, in some places, the powerful goddesses of earlier times were gradually relegated to the home and hearth. They were replaced in the public arena by dominant male deities, who now were credited with the power of creation and fertility and viewed as the patrons of wisdom and learning. This “demotion of the goddess,” argued historian Gerda Lerner, found expression in the Hebrew Scriptures, in which a single male deity, Yahweh (YAH-way), alone undertakes the act of creation without any participation of a female counterpart. Yet this demotion did not occur always or everywhere; in Mesopotamia, for example, the prominent goddess Inanna, or Ishtar, long held her own against male gods and was regarded as a goddess of love and sexuality as well as a war deity.

Thus expressions of patriarchy varied among the First Civilizations. Egypt, while clearly patriarchal, afforded its women greater opportunities than did most other First Civilizations. In Egypt, women were recognized as legal equals to men, able to own property and slaves, to administer and sell land, to make their own wills, to sign their own marriage contracts, and to initiate divorce. Moreover, married women in Egypt were not veiled as they were at times in Mesopotamia. Royal women occasionally exercised significant political power, acting as regents for their young sons or, more rarely, as queens in their own right. Clearly, though, this was seen as abnormal, for Egypt's most famous queen, Hatshepsut (r. 1472–1457 B.C.E.), was sometimes portrayed in statues as a man, dressed in male clothing and sporting the traditional false beard of the pharaoh.

The Rise of the State

What, we might reasonably ask, held ancient civilizations together despite the many tensions and complexities of urban living and the vast inequalities of civilized societies? The answer, in large part, lay in yet another distinctive feature of the First Civilizations—states. Organized around particular cities or larger territories, early states were headed almost everywhere by kings, who employed a variety of ranked officials, exercised a measure of control over society, and defended against external enemies. The state is a quite recent invention in human history, replacing, or at least supplementing, kinship as the basic organizing principle of society and exercising

Guided Reading Question

■ **COMPARISON**
How did Mesopotamian and Egyptian patriarchy differ from each other?

far greater power than earlier chiefdoms. But the power of central states in the First Civilizations was limited and certainly not “totalitarian” in the modern sense of that term. The temple and the private economy rivaled and checked the power of rulers, and most authority was local rather than directed from the capital.

Coercion and Consent

Early states in Mesopotamia, Egypt, China, Mesoamerica, and elsewhere drew their power from various sources, all of which helped to integrate their societies. One basis of authority lay in the recognition that the complexity of life in cities or densely populated territories required some authority to coordinate and regulate the community. Someone had to organize the irrigation systems of river valley civilizations. Someone had to direct efforts to defend the city or territory against aggressive outsiders. Someone had to adjudicate conflicts among the many different peoples, unrelated to one another, who rubbed elbows in the streets of early cities. The state, in short, solved certain widely shared problems and therefore had a measure of voluntary support among the population. For many people, it was surely useful.

The state, however, was more useful for some people than for others, for it also served to protect the privileges of the upper classes, to require farmers to give up a portion of their product to support city-dwellers, and to demand work on large public projects such as pyramids and fortifications. If necessary, state authorities had the ability, and the willingness, to use force to compel obedience. As recorded in the Jewish scriptures of the Old Testament, the prophet Samuel warned the ancient people of Israel about the “ways of the king”:

He will take your sons and make them serve with his chariots and horses. . . . Some he will assign to be commanders . . . and others to plow his ground and reap his harvest, and still others to make weapons of war and equipment for his chariots. He will take your daughters to be perfumers and cooks and bakers. He will take the best of your fields and vineyards and olive groves and give them to his attendants. He will take a tenth of your grain and of your vintage and give it to his officials and attendants. Your male and female servants and the best of your cattle and donkeys he will take for his own use. He will take a tenth of your flocks, and you yourselves will become his slaves.¹²

Such was the power of the state, as rulers accumulated the resources to pay for officials, soldiers, police, and attendants. This capacity for violence and coercion marked off the states of the First Civilizations from earlier chiefdoms, whose leaders had only persuasion, prestige, and gifts to back up their authority. But as states increasingly monopolized the legitimate right to use violence, rates of death from interpersonal violence declined as compared to earlier nonstate communities.¹³

Force, however, was not always necessary, for the First Civilizations soon generated ideas suggesting that state authority as well as class and gender inequalities were normal, natural, and ordained by the gods. Rulers in many places were thought

to be morally responsible for the care of their subjects, especially in times of crisis or catastrophe. Kingship everywhere was associated with the sacred. Ancient Chinese kings were known as the Son of Heaven, and only they or their authorized priests could perform the rituals and sacrifices necessary to keep the cosmos in balance, thus preventing war, pestilence, and natural disaster. Egyptians, most of all, invested their pharaohs with divine qualities. Rulers claimed to embody all the major gods of Egypt, and their supernatural power ensured the regular flooding of the Nile and the defeat of the country’s enemies.

But if religion served most often to justify unequal power and privilege, it might also on occasion be used to restrain, or even undermine, the established order. Hammurabi claimed that his law code was inspired by Marduk, the chief god of Babylon, and was intended to “bring about the rule of righteousness in the land, to destroy the wicked and the evildoers; so that the strong should not harm the weak.”¹⁴ Another Mesopotamian monarch, Urukagina from the city of Lagash, claimed authority from the city’s patron god for reforms aimed at ending the corruption and tyranny of a previous ruler. In China during the Western Zhou dynasty (1046–771 B.C.E.), emperors ruled by the Mandate of Heaven, but their bad behavior could result in the removal of that mandate and their overthrow.

Writing and Accounting

A further support for state authority lay in the remarkable invention of writing. It was a powerful and transforming innovation, regarded almost everywhere as a gift from the gods, while people without writing often saw it as something magical or supernatural. Distinctive forms of writing emerged in most of the First Civilizations (see Snapshot, page 72), sustaining them and their successors in many ways. Literacy defined elite status and conveyed enormous prestige to those who possessed it. For Egyptians, a scribe earned a kind of immortality through his writing, for it persisted long after his death. Because it can be learned, writing also provided a means for some commoners to join the charmed circle of the literate. Writing as propaganda, celebrating the great deeds of the kings, was prominent, especially among the Egyptians

Guided Reading Question

■ CHANGE







What were the sources of state authority in the First Civilizations?



A Mesopotamian Ziggurat This massive ziggurat/temple to the Mesopotamian moon god Nanna was built around 2100 B.C.E. in the city of Ur. The solitary figure standing atop the staircase illustrates the size of this huge structure. (© Richard Ashworth/Robert Harding)

SNAPSHOT Writing in Ancient Civilizations

Most of the early writing systems were logophonetic, using symbols to designate both whole words and particular sounds or syllables. Chinese characters, which indicated only words, were an exception. None of the early writing systems employed alphabets.

Location	Type	Initial Use	Example	Comment
Sumer	Cuneiform: wedge-shaped symbols on clay tablets representing objects, abstract ideas, sounds, and syllables	Records of economic transactions, such as temple payments and taxes	 bird	Regarded as the world's first written language; other languages such as Babylonian and Assyrian were written with Sumerian script
Egypt	Hieroglyphs ("sacred carvings"): a series of signs that denote words and consonants (but not vowels or syllables)	Business and administrative purposes; later used for religious inscriptions, stories, poetry, hymns, and mathematics	 rain, dew, storm	For everyday use, less formal systems of cursive writing (known as hieratic and demotic) were developed
Andes	Quipu: a complex system of knotted cords in which the color, length, type, and location of knots conveyed mostly numerical meaning	Various accounting functions; perhaps also used to express words	 numerical data (possibly in codes), words, and ideas	Widely used in the Inca Empire; recent discoveries place quipus in Caral some 4,600 years ago
Indus River Valley	Some 400 pictographic symbols representing sounds and words, probably expressing a Dravidian language currently spoken in southern India	Found on thousands of clay seals and pottery; probably used to mark merchandise	 6 fish	As yet undeciphered
China	Oracle bone script: pictographs (stylized drawings) with no phonetic meaning	Inscribed on turtle shells or animal bones; used for divination (predicting the future) in the royal court of Shang dynasty rulers	 horse	Direct ancestor of contemporary Chinese characters
Olmec	Signs that represent sounds (syllables) and words; numbering system using bars and dots	Used to record the names and deeds of rulers and shamans, as well as battles and astronomical data	 jaguar	Structurally similar to later Mayan script; Olmec calendars were highly accurate and the basis for later Mesoamerican calendars

and later among the Maya. A hymn to the pharaoh, dating to about 1850 B.C.E., extravagantly praised the Egyptian ruler:

He has come unto us . . . and has given peace to the two Riverbanks and has made Egypt to live; he hath banished its suffering; he has caused the throat of the subjects to breathe and has trodden down foreign countries; he has delivered them that were robbed; he has come unto us, that we may [nurture up?] our children and bury our aged ones.¹⁵

In Mesopotamia and elsewhere, writing served an accounting function, recording who had paid their taxes, who owed what to the temple, and how much workers had earned. Thus it immensely strengthened bureaucracy. Complex calendars indicated precisely when certain rituals should be performed. Writing also gave weight and specificity to orders, regulations, and laws. Hammurabi's famous law code, while correcting certain abuses, made crystal clear that fundamental distinctions divided men and women and separated slaves, commoners, and people of higher rank.

Once it had been developed, writing, like religion, proved hard to control and operated as a wild card in human affairs. It gave rise to literature and philosophy, to astronomy and mathematics, and, in some places, to history, often recording what had long been oral traditions. On occasion, the written word proved threatening, rather than supportive, to rulers. China's so-called First Emperor, Qin Shihuangdi (r. 221–210 B.C.E.), allegedly buried alive some 460 scholars and burned their books when they challenged his brutal efforts to unify China's many warring states, or so his later critics claimed (see "China: From Warring States to Empire," Chapter 3). Thus writing became a major arena for social and political conflict, and rulers have always sought to control it.



Olmec Head This colossal statue, some eight feet high and weighing twenty-four tons, is one of seventeen such carvings, dating to the first millennium B.C.E., that were discovered in the territory of the ancient Olmec civilization. Thought to represent individual rulers, each of the statues has a distinct and realistically portrayed face.

(© Danny Lehman/Corbis/VCG/Getty Images)

The Grandeur of Kings

Yet another source of state authority derived from the lavish lifestyle of elites, the impressive rituals they arranged, and the imposing structures they created. Everywhere, kings, high officials, and their families lived in luxurious palaces or homes, dressed in splendid clothing, bedecked themselves with the loveliest jewelry, and were attended by endless servants. Their deaths triggered elaborate burials, of which the pyramids of the Egyptian pharaohs were perhaps the most ostentatious. Monumental palaces, temples, ziggurats, pyramids, and statues conveyed the imposing power of the state

PRACTICING HISTORICAL THINKING

In what ways might the advent of “civilization” have marked a revolutionary change in the human condition? And in what ways did it carry on earlier patterns from the past?

and its elite rulers. The Olmec civilization of Mesoamerica (1200–400 B.C.E.) erected enormous human heads, some more than ten feet tall and weighing at least twenty tons, carved from blocks of basalt and probably representing particular rulers. Somewhat later, the Maya Temple of the Great Jaguar, 154 feet tall, was the most impressive among many temples, pyramids, and palaces that graced the city of Tikal. All of this must have seemed overwhelming to common people in the cities and villages of the First Civilizations.

Comparing Mesopotamia and Egypt

A productive agricultural technology, city living, distinct class and gender inequalities, the emerging power of states—all of these were common features of First Civilizations across the world and also of those that followed. Still, these civilizations were not everywhere the same, for differences in political organization, religious beliefs and practices, the role of women, and much more gave rise to distinctive traditions. Nor were they static. Like all human communities, they changed over the centuries. Finally, these civilizations did not exist in complete isolation, for they participated in networks of interactions with near and sometimes more distant neighbors. In looking more closely at two of these First Civilizations—Mesopotamia and Egypt—we can catch a glimpse of the differences, changes, and connections that characterized early civilizations.

Environment and Culture

The civilizations of both Mesopotamia and Egypt grew up in river valleys and depended on their rivers to sustain a productive agriculture in otherwise-arid lands. Those rivers, however, were radically different. At the heart of Egyptian life was the Nile, “that green gash of teeming life,” which rose predictably every year to bring the soil and water that nurtured a rich Egyptian agriculture. The Tigris and Euphrates rivers, which gave life to Mesopotamian civilization, also rose annually, but “unpredictably and fitfully, breaking man’s dikes and submerging his crops”¹⁶ (see Map 2.2). Furthermore, an open environment without serious obstacles to travel made Mesopotamia far more vulnerable to invasion than the much more protected space of Egypt, which was surrounded by deserts, mountains, seas, and to its south by unnavigable stretches of the Nile. For long periods of its history, Egypt enjoyed a kind of “free security” from external attack that Mesopotamians clearly lacked.

But does the physical environment shape the human cultures that develop within it? Most historians are reluctant to endorse a “geography is destiny” outlook, but in the case of Mesopotamia and Egypt, it is hard to deny some relationship between the physical setting and culture. Mesopotamia’s location within a precarious, unpredictable, and often-violent environment arguably contributed to an



Map 2.2 Mesopotamia

After about 1,000 years of independent and competitive existence, the city-states of Sumer were incorporated into a number of larger imperial states based in Akkad, Babylon, and then Assyria.

outlook suggesting that humankind was caught in an inherently disorderly world, was subject to the whims of capricious and quarreling gods, and had to face death without much hope of a blessed life beyond. A Mesopotamian poet complained: “I have prayed to the gods and sacrificed, but who can understand the gods in heaven? Who knows what they plan for us? Who has ever been able to understand a god’s conduct?”¹⁷

By contrast, elite literate culture in Egypt, developing in a more stable, predictable, and beneficent environment, produced a rather more cheerful and hopeful outlook on the world. The rebirth of the sun every day and of the river every year seemed to assure Egyptians that life would prevail over death. The amazing pyramids, constructed during Egypt’s Old Kingdom (2663–2195 B.C.E.), reflected the firm belief that at least the pharaohs and other high-ranking people could successfully make the journey to eternal life in the Land of the West. Incantations for the dead describe an afterlife of abundance and tranquillity that Gilgamesh could only have envied. Over time, larger groups of people, beyond the pharaoh and his entourage, came to believe that they too could gain access to the afterlife if they followed proper procedures and lived a morally upright life. Thus Egyptian civilization not only affirmed the possibility of eternal life but also expanded access to it.

If the different environments of Mesopotamia and Egypt shaped their societies and cultures, those civilizations, with their mounting populations and growing demand for resources, likewise had an impact on the environment.¹⁸ The *Epic*

Guided Reading Question

■ COMPARISON

In what ways did Mesopotamian and Egyptian civilizations differ from each other?

of *Gilgamesh* inscribed in mythology the deforestation of Mesopotamia. When the ruler Gilgamesh sought to make for himself “a name that endures” by building walls, ramparts, and temples, he required much timber. But to acquire it, he had first to kill Humbaba, appointed by the gods to guard the forests. The epic describes what happened next: “Then there followed confusion. . . . Now the mountains were moved and all the hills, for the guardian of the forest was killed. They attacked the cedars. . . . So they pressed on into the forest . . . and while Gilgamesh felled the first of the trees of the forest, Enkidu [the friend of Gilgamesh] cleared their roots as far as the banks of Euphrates.”¹⁹

In Sumer (southern Mesopotamia), such deforestation and the soil erosion that followed from it sharply decreased crop yields between 2400 and 1700 B.C.E. Also contributing to this disaster was the increasing salinization of the soil, a long-term outcome of intensive irrigation. By 2000 B.C.E., there were reports that “the earth turned white” as salt accumulated in the soil. As a result, wheat was largely replaced by barley, which is far more tolerant of salty conditions. This ecological deterioration clearly weakened Sumerian city-states, facilitated their conquest by foreigners, and shifted the center of Mesopotamian civilization permanently to the north.

Egypt, by contrast, created a more sustainable agricultural system, which lasted for thousands of years and contributed to the remarkable continuity of its civilization. Whereas Sumerian irrigation involved a complex and artificial network of canals and dikes that led to the salinization of the soil, its Egyptian counterpart was much less intrusive, simply regulating the natural flow of the Nile. Such a system avoided the problem of salty soils, allowing Egyptian agriculture to emphasize wheat production, but it depended on the general regularity and relative gentleness of the Nile’s annual flooding. On occasion, that pattern was interrupted, with serious consequences for Egyptian society. An extended period of low floods between 2250 and 1950 B.C.E. led to sharply reduced agricultural output, large-scale starvation, the loss of livestock, and, consequently, social upheaval and political disruption. Nonetheless, Egypt’s ability to work *with* its more favorable natural environment enabled a degree of stability and continuity that proved impossible in Sumer, where human action intruded more heavily into a less benevolent natural setting.

Cities and States

Politically as well as culturally and environmentally, Mesopotamian and Egyptian civilizations differed sharply. For its first thousand years (3200–2350 B.C.E.), Mesopotamian civilization, located in the southern Tigris–Euphrates region known as Sumer, was organized in a dozen or more separate and independent city-states. Each city-state was ruled by a king, who claimed to represent the city’s patron deity and who controlled the affairs of the walled city and surrounding rural area. Quite remarkably, some 80 percent of the population of Sumer lived in one or another of these city-states, making Mesopotamia the most thoroughly urbanized society of ancient times. The chief reason for this massive urbanization, however, lay in the great flaw of this

system, for frequent warfare among these Sumerian city-states caused people living in rural areas to flee to the walled cities for protection. With no overarching authority, rivalry over land and water often led to violent conflict.

These conflicts, together with environmental devastation, eventually left Sumerian cities vulnerable to outside forces, and after about 2350 B.C.E., stronger peoples from northern Mesopotamia conquered Sumer’s warring cities, bringing an end to the Sumerian phase of Mesopotamian civilization. First the Akkadians (2350–2000 B.C.E.), and later the Babylonians (1900–1500 B.C.E.) and the Assyrians (900–612 B.C.E.), created larger territorial states or bureaucratic empires that encompassed all or most of Mesopotamia. Periods of political unity now descended upon this First Civilization, but it was unity imposed from outside.

Egyptian civilization, by contrast, began its history around 3100 B.C.E., with the merger of several earlier states or chiefdoms into a unified territory that stretched some 1,000 miles along the Nile. For an amazing 3,000 years, the Egypt of the pharaohs maintained its unity and independence, though with occasional interruptions. A combination of wind patterns that made it easy to sail south along the Nile and a current flowing north facilitated communication, exchange, unity, and stability within the Nile Valley. Here was a record of political longevity and continuity that the Mesopotamians and many other ancient peoples could not replicate. An Egyptian territorial state and cultural identity persist still in northeastern Africa.

Cities in Egypt were less important than in Mesopotamia, although political capitals, market centers, and major burial sites gave Egypt an urban presence as well. Most people lived in agricultural villages along the river rather than in urban centers, perhaps because Egypt’s greater security made it less necessary for people to gather in fortified towns. The focus of the Egyptian state resided in the pharaoh, believed to be a god in human form. He alone ensured the daily rising of the sun and the annual flooding of the Nile. All of the country’s many officials served at his pleasure, and access to the afterlife lay in proximity to him and burial in or near his towering pyramids.

This image of the pharaoh and his role as an enduring symbol of a unified Egyptian civilization persisted over the course of three millennia, but the realities of Egyptian political life did not always match these ideals. By 2400 B.C.E., the power of the pharaoh had diminished, as local officials and nobles assumed greater authority. Having been awarded their own land, they were able to pass their positions on to their sons. When changes in the weather resulted in the Nile’s repeated failure to flood properly around 2200 B.C.E., the authority of the pharaoh was severely discredited, and Egypt dissolved for several centuries into a series of local principalities.

Even when centralized rule was restored around 2000 B.C.E., the pharaohs never regained their old power and prestige. Kings were now warned that they too would have to account for their actions at the Day of Judgment. Nobles no longer sought to be buried near the pharaoh’s pyramid but instead created their own more modest tombs in their own areas. Osiris, the god of the dead, became increasingly prominent, and all worthy men, not only those who had been close to the pharaoh in life, could aspire to immortality in his realm.

Interaction and Exchange

Although Mesopotamia and Egypt represented separate and distinct civilizations, they interacted frequently with each other and with both near and more distant neighbors. Even in these ancient times, the First Civilizations were embedded in larger networks of commerce, culture, and power. None of them stood alone.

Egypt's early agriculture, for example, drew upon wheat and barley, which likely reached Egypt from Mesopotamia, as well as gourds, watermelon, domesticated donkeys, and cattle, which came from the Sudan to the south. The practice of “divine kingship” probably derived from the central or eastern Sudan, where small-scale agricultural communities had long viewed their rulers as sacred and buried them with various servants and officials. From this complex of influences, the Egyptians created something distinct and unique, but that civilization had roots in both Africa and South-west Asia.

Furthermore, once they were established, both Mesopotamia and Egypt carried on long-distance trade, mostly in luxury goods destined for the elite. Sumerian merchants had established seaborne contact with the Indus Valley civilization as early as 2300 B.C.E., while Indus Valley traders and their interpreters had taken up residence in Mesopotamia. Other trade routes connected Mesopotamia to Anatolia (present-day Turkey), Egypt, Iran, and Afghanistan. During Akkadian rule over Mesopotamia, a Sumerian poet described its capital of Agade:

In those days the dwellings of Agade were filled with gold, / its bright-shining houses were filled with silver,
into its granaries were brought copper, tin, slabs of lapis lazuli [a blue gemstone],
its silos bulged at the sides . . . / its quay where the boats docked were all bustle.²⁰

All of this and more came from far away.

Egyptian trade likewise extended far afield. In addition to being involved with the Mediterranean and the Middle East, Egyptian trading journeys extended deep into Africa, including Nubia, south of Egypt in the Nile Valley, and Punt, along the East African coast of Ethiopia and Somalia. One Egyptian official described his return from an expedition to Nubia: “I came down with three hundred donkeys laden with incense, ebony, . . . panther skins, elephant tusks, throw sticks, and all sorts of good products.”²¹

Along with trade goods went cultural influence from the civilizations of Mesopotamia and Egypt. Among the smaller societies of the region to feel this influence were the Hebrews. Their sacred writings, recorded in the Old Testament, showed the influence of Mesopotamia in the “eye for an eye” principle of their legal system and in the story of a flood that destroyed the world. The Phoenicians, who were commercially active in the Mediterranean basin from their homeland in present-day Lebanon, also were influenced by Mesopotamian civilization. They venerated Astarte, a local form of the Mesopotamian fertility goddess Ishtar. They also adapted

the Sumerian cuneiform method of writing to a much easier alphabetic system, which later became the basis for Greek and Latin writing. Various Indo-European peoples, dispersing probably from north-central Anatolia (the site of contemporary Turkey), also incorporated Sumerian deities into their own religions as well as bronze metallurgy and the wheel into their economies. When their widespread migrations carried them across much of Eurasia, they took these Sumerian cultural artifacts with them.

Egyptian cultural influence likewise spread in several directions. Nubia, located to the south of Egypt in the Nile Valley, not only traded with its more powerful neighbor but also was subject to periodic military intervention and political control from Egypt. Skilled Nubian archers were actively recruited for service as mercenaries in Egyptian armies. They often married Egyptian women and were buried in Egyptian style. All of this led to the diffusion of Egyptian culture in Nubia, expressed in building Egyptian-style pyramids, worshipping Egyptian gods and goddesses, and making use of Egyptian hieroglyphic writing. Despite this cultural borrowing, Nubia remained a distinct civilization, developing its own alphabetic script, retaining many of its own gods, developing a major ironworking industry by 500 B.C.E., and asserting its political independence whenever possible. The Nubian kingdom of Kush, in fact, invaded Egypt in 760 B.C.E. and ruled it for about 100 years.

In the Mediterranean basin, clear Egyptian influence is visible in the art of the Minoan civilization, which emerged on the island of Crete about 2500 B.C.E. More controversial has been the claim by some scholars that ancient Greek culture—its



Egypt and Nubia This wall painting from the tomb of an Egyptian court official, dating to the fifteenth century B.C.E., shows Nubians bringing animals as tribute to Egyptian authorities.
(James Morris/ARX Images)

Guided Reading Question

CONNECTION
In what ways were Mesopotamian and Egyptian civilizations shaped by their interactions with near and distant neighbors?



MAPPING HISTORY

Map 2.3 An Egyptian Empire

During the New Kingdom period after 1550 B.C.E., Egypt became for several centuries an empire, extending its political control southward into Nubia and northward into Palestine and Syria.

READING THE MAP: What geographical features and political realities influenced the extent and shape of the Egyptian New Kingdom empire?

INTERPRETING THE MAP: What geographic factors might have made it difficult for Egyptian pharaohs to expand their empire farther or even maintain its New Kingdom borders?

art, religion, philosophy, and language—drew heavily upon Egyptian as well as Mesopotamian precedents. Influence was not a one-way street, however, as Egypt and Mesopotamia likewise felt the impact of neighboring peoples. Pastoral peoples, speaking Indo-European languages and living in what is now southern Russia, had domesticated the horse by perhaps 4000 B.C.E. and later learned to tie that powerful animal to wheeled carts and chariots. This new technology provided a fearsome military potential that enabled various chariot-driving peoples, such as the Hittites, to threaten ancient civilizations. Based in Anatolia, the Hittites sacked the city of Babylon in 1595 B.C.E. Several centuries later, conflict between the Hittites and Egypt over control of Syria resulted in the world’s first written peace treaty. But chariot technology was portable, and soon both the Egyptians and the Mesopotamians incorporated it into their own military forces. In fact, this powerful military innovation, together with the knowledge of bronze metallurgy, spread quickly and widely, reaching China by 1200 B.C.E. There it enabled the creation of a strong Chinese state ruled by the Shang dynasty. All of these developments provide evidence of at least indirect connections across parts of the Afro-Eurasian landmass in ancient times. Even then, no civilization was wholly isolated from larger patterns of interaction.

In Egypt, the centuries following 1650 B.C.E. witnessed the migration of foreigners from surrounding regions and conflict with neighboring peoples, shaking the sense of security that this Nile Valley civilization had long enjoyed. It also stimulated the normally complacent Egyptians to adopt a number of technologies pioneered earlier in Asia, including the horse-drawn chariot; new kinds of armor, bows, daggers, and

swords; improved methods of spinning and weaving; new musical instruments; and olive and pomegranate trees. Absorbing these foreign innovations, Egyptians went on to create their own empire, both in Nubia and in the eastern Mediterranean regions of Syria and Palestine. By 1500 B.C.E., the previously self-contained Egypt became for several centuries an imperial state bridging Africa and Asia, ruling over substantial numbers of non-Egyptian peoples (see Map 2.3). It also became part of an international political system that included the Babylonian and later Assyrian empires of Mesopotamia as well as many other peoples of the region. Egyptian and Babylonian rulers engaged in regular diplomatic correspondence, referred to one another as “brother,” exchanged gifts, and married their daughters into one another’s families. Or at least they tried to. While Babylonian rulers were willing to send their daughters to Egypt, the Egyptians were exceedingly reluctant to return the favor, claiming that “from ancient times the daughter of the king of Egypt has not been given to anyone.” To this rebuff, the disappointed Babylonian monarch replied: “You are a king and you can do as pleases you. . . . Send me [any] beautiful woman as if she were your daughter. Who is to say this woman is not the daughter of the king?”²²

REFLECTIONS

“Civilization”: What’s in a Word?

In examining the First Civilizations, we are worlds away from life in agricultural villages or Paleolithic camps. Strangely enough, historians have been somewhat uncertain as to how to refer to these new forms of human community. Following common practice, we have called them “civilizations,” but scholars have reservations about the term for two reasons. The first is its implication of superiority. In popular usage, “civilization” suggests refined behavior, a “higher” form of society, something unreservedly positive. The opposite of “civilized”—“barbarian,” “savage,” or “uncivilized”—is normally understood as an insult implying inferiority. That, of course, is precisely how the inhabitants of many civilizations have viewed outsiders, particularly those neighboring peoples living without the alleged benefit of cities and states.

Modern assessments of the First Civilizations reveal a profound ambiguity about these new, larger, and more complex societies. On the one hand, these civilizations have given us inspiring art, profound reflections on the meaning of life, more productive technologies, increased control over nature, and the art of writing—all of which have been cause for celebration. On the other hand, as anthropologist Marvin Harris noted, “human beings learned for the first time how to bow, grovel, kneel, and kowtow.”²³ Massive inequalities, state oppression, slavery, large-scale warfare, the subordination of women, and epidemic disease also accompanied the rise of civilization, generating discontent, rebellion, and sometimes the urge to escape. This ambiguity about the character of civilizations has led some historians to avoid

the word, referring to early Egypt, Mesopotamia, and other regions instead as complex societies, urban-based societies, or state-organized societies.

A second reservation about using the term “civilization” derives from its implication of solidity—the idea that civilizations represent distinct and widely shared identities with clear boundaries that mark them off from other such units. It is unlikely, however, that many people living in Mesopotamia, Norte Chico, or ancient China felt themselves part of a shared culture. Local identities defined by occupation, clan affiliation, village, city, or region were surely more important for most people than those of some larger civilization. At best, members of an educated upper class who shared a common literary tradition may have felt themselves part of some more inclusive civilization, but that left out most of the population. Moreover, unlike modern nations, none of the earlier civilizations had definite borders. Any identification with that civilization surely faded as distance from its core region increased. Finally, the line between civilizations and other kinds of societies is not always clear. Just when does a village or town become a city? At what point does a chiefdom become a state?

Despite these reservations, this book continues to use the term “civilization,” both because it is so deeply embedded in our way of thinking about the world and because no alternative concept has achieved widespread acceptance. For historians, however, “civilization” is a purely descriptive term, referring to a distinctive type of human society—one with cities and states—without implying any judgment or assessment, any sense of superiority or inferiority. Furthermore “civilization” serves to define broad cultural patterns in particular geographic regions—Mesopotamia, the Peruvian coast, or China, for example—even though many people living in those regions may have been more aware of differences and conflicts than of those commonalities.

Second Thoughts

What's the Significance?

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Big Picture Questions

1. How does historians' use of the term “civilization” differ from popular usage? How do you use it?
2. “Civilizations were held together largely by force.” Do you agree with this assessment, or were there other mechanisms of integration as well?
3. How did the various First Civilizations differ from one another?
4. **Looking Back:** To what extent did civilizations represent “progress” in comparison with earlier Paleolithic and Neolithic societies? And in what ways did they constitute a setback for humankind?

Next Steps: For Further Study

Cyril Aldred, *The Egyptians* (1998). A brief account from a widely recognized expert.

Jonathan M. Kenoyer, *Ancient Cities of the Indus Valley Civilization* (1998). A thorough and beautifully illustrated study by a leading archeologist of the area.

Samuel Noah Kramer, *History Begins at Sumer* (1981). A classic account of Sumerian civilization, filled with wonderful stories and anecdotes.

David B. O'Connor, *Ancient Nubia: Egypt's Rival in Africa* (1994). An overview of this ancient African civilization, with lovely illustrations based on a museum exhibit.

Christópher A. Pool, *Olmec Archeology and Early Mesoamerica* (2007). A scholarly and up-to-date account of the earliest civilization in Mesoamerica.

James C. Scott, *Against the Grain: The Deep History of the Earliest States* (2017). An up-to-date review of the scholarship on the origins of agrarian state-based civilizations with an emphasis on the many negative consequences of that landmark development.

Robert Thorp, *China in the Early Bronze Age: Shang Civilization* (2006). An accessible and scholarly account of early Chinese civilization informed by recent archeological discoveries.

“The Ancient Indus Valley Civilization 3500–1700 B.C.E.,” <http://www.harappa.com>. Everything you want to know about the Indus Valley civilization in hundreds of vivid pictures, videos, essays, books, and articles.

The British Museum, “Ancient Egypt,” <http://www.ancientegypt.co.uk/menu.html>. An interactive exploration of Egyptian civilization from the British Museum.

Indus Valley Civilization

In most accounts of the First Civilizations, Egypt and Mesopotamia hold center stage. And yet the civilization of the Indus River valley was much larger, and its archeological treasures have been equally impressive, though clearly distinctive. This civilization flourished around 2000 B.C.E., about a thousand years later than its better-known counterparts in the Middle East and Northeast Africa. By 1700 B.C.E., Indus Valley civilization was in decline, as the center of Indian or South Asian civilization shifted gradually eastward to the plains of the Ganges River. In the process, all distinct memory of the earlier Indus Valley civilization vanished, to be rediscovered only in the early twentieth century as archeologists uncovered its remarkable remains. Here is yet another contrast with Egypt and Mesopotamia, where conscious memory of earlier achievements persisted long after those civilizations had passed into history. The images that follow are drawn from archeological investigations of the Indus Valley civilization and offer us a glimpse of its achievements and unique features. Since its written language was limited in extent and has not yet been deciphered, scholars have been highly dependent on its physical remains for understanding this First Civilization.

SOURCE 2.1 Cityscape of Mohenjo Daro ▶

Among the most distinctive elements of the Indus Valley civilization were its cities, of which Mohenjo Daro and Harappa were the largest and are the most thoroughly investigated. Laid out systematically on a grid pattern and clearly planned, they were surrounded by substantial walls made from mud bricks of a standardized size and interrupted by imposing gateways. Inside the walls, public buildings, market areas, large and small houses, and craft workshops stood in each of the cities' various neighborhoods. Many houses had indoor latrines, while wide main streets and narrow side lanes had drains to carry away polluted water and sewage. Source 2.1 is a modern drawing of ancient Mohenjo Daro.

- Based on this image, how would you describe Mohenjo Daro to someone who had never seen it?
- How useful are such modern reconstructions in understanding ancient civilizations?

Wall Painting of Mohenjo Daro Reconstruction | 20th century



Mohenjo Daro Archeological Museum, Sindh Province, Pakistan/Roland and Sabrina Michaud/alg-images

SOURCE 2.2 A Seal from the Indus Valley ▶

In many ancient and more recent societies, seals have been used for imprinting an image on a document or a product. Archeologists have frequently found such seals in Indus Valley cities. They often incorporate the image of an animal—a bull, an elephant, a crocodile, a buffalo, or even a mythic creature such as a unicorn—and a title or inscription in a still-undeciphered script. Thus the seals were accessible to an illiterate worker loading goods on a boat as well as to literate merchants or officials. Particular seals may well have represented a specific clan, a high official, a particular business, or a prominent individual. Unicorn seals have been the most numerous finds and were often used to make impressions on clay tags attached to bundled goods, suggesting that their owners were involved in trade or commerce. Because bull seals, such as the one shown in Source 2.2, were rarer, their owners may have been high-ranking officials or members of a particularly powerful clan. The bull, speculates archeologist Jonathan Kenoyer, “may symbolize the leader of the herd, whose strength and virility protects the herd and ensures the procreation of the species, or it may stand for a sacrificial animal.”²⁴ Indus Valley seals, as well as pottery, have been found in Mesopotamia, indicating an established trade between these two First Civilizations.

- How might a prominent landowner, a leading official, a clan head, or a merchant make use of such a seal?
- What meaning might you attach to the use of animals as totems or symbols of a particular group or individual?
- Notice the five characters of the Indus Valley script at the top of the seal. Do a little research on the script with an eye to understanding why it has proved so difficult to decipher.

A Humped Cattle Seal from Mohenjo Daro | 19th century B.C.E.



National Museum of Pakistan, Karachi, Pakistan/De Agostini Picture Library/A. Dagli-Orti/Brigitteman Images



SOURCE 2.3 Man from Mohenjo Daro ▶

The most intriguing features of the Indus Valley civilization involve what is missing, at least in comparison with ancient Egypt and Mesopotamia. Archeologists have found no grand temples or palaces; no elite burial places filled with great wealth; no images of warfare, conquest, or the seizing of captives; no monuments to celebrate powerful rulers. These absences have left scholars guessing about the social and political organization of this civilization. Nonetheless, the archeology reveals a social hierarchy that included some elite groups. Source 2.3, a statue seven inches tall and found in Mohenjo Daro, likely depicts one of these elite men.

- What specific features of the statue can you point out?
- What possible indication of elite status can you identify?
- What overall impression does the statue convey?
- In the past some scholars have argued that this statue depicts a “priest king.” Do you see any evidence that he is either a priest or a king? To what extent is such speculation helpful? In what ways might it be problematic?

Statue of an Elite Man | 3rd millennium B.C.E.



National Museum of Pakistan, Karachi, Pakistan/Jean-Louis Nou/akg-images



SOURCE 2.4 Cart and Oxen from Mohenjo Daro ▶

Indus Valley statuary can also provide insight into the everyday lives of commoners. Made over 3,500 years ago, this simple stone model of a cart being pulled by two oxen depicts what must have been a common sight in both major cities and the countryside. Such carvings may have served as children’s toys, reminding us that some aspects of life, like the need to amuse and distract children, are shared by parents in all times and places.

- What might an archeologist learn by carefully examining a statue like this? The driver of this cart appears to be female. If so, what does this cart suggest about gender roles in the Indus Valley civilization?
- What do you think might be the purpose of such a toy beyond simple amusement?

Stone Model of a Cart Pulled by Two Oxen | ca. 2400 B.C.E.



National Museum of Pakistan, Karachi, Pakistan/Universal Images Group/Art Resource, NY



SOURCE 2.5 Dancing Girl ▶

Limited archeological evidence suggests that some urban women played important social and religious roles in Indus Valley civilization. Figurines of women or goddesses are more common than those of men. Women, apparently, were buried near their mothers and grandmothers, while men were not interred with their male relatives. The great variety of clothing, hairstyles, and decorations displayed on female figurines indicates considerable class, ethnic, and perhaps individual variation.

Among the most delightful discoveries in the Indus Valley cities is the evocative statue shown in Source 2.5. It is about four inches tall and dates to around 2500 B.C.E. This young female nude is known generally as the “dancing girl.” Cast in bronze using a sophisticated “lost wax” method, this statue provides evidence for a well-developed copper/bronze industry. The figure herself was portrayed in a dancer’s pose, her hair gathered in a bun and her left arm covered with bangles and holding a small bowl. Both her arms and legs seem disproportionately long. She has been described variously as a queen, a high-status woman, a sacred temple dancer, and a tribal girl. Although no one really knows her precise identity, she has evoked wide admiration and appreciation. Mortimer Wheeler, a famous British archeologist, described her as “a girl perfectly, for the moment, perfectly confident of herself

and the world.” American archeologist Gregory Possehl, also active in the archeology of the Indus Valley civilization, commented, “We may not be certain that she was a dancer, but she was good at what she did and she knew it.”²⁵

- What features of this statue may have provoked such observations?
- How do you react to this statue? What qualities does the figure evoke?
- What does Source 2.5 suggest about views of women, images of female beauty, and attitudes about sexuality and the body?

An Indus Valley Girl | ca. 2500 B.C.E.



National Museum of India, New Delhi, India/Bridgeman Images

DOING HISTORY

- Using art as evidence:** What can we learn about the Indus Valley civilization from these visual sources? How does our level of understanding of this civilization differ from that of Egypt and Mesopotamia, where plentiful written records are available?
- Considering accessibility:** Do you think that the art of civilizations, such as that of the Indus Valley, resonates more with modern people than artistic products of earlier eras? Is it possible to speak of artistic “progress” or “development,” or should we be content with simply noticing differences?
- Comparing representations of people:** Notice the various ways that human figures were portrayed in the images shown in Chapters 1 and 2, both those in the chapter narrative and in the Working with Evidence features. How might you define those differences? Can you identify changes from the Paleolithic to the Neolithic eras and then to the age of First Civilizations? How are gender differences represented in these images?
- Seeking further evidence:** What additional kinds of archeological discoveries would further our understanding of the Indus Valley civilization?

HISTORIANS' VOICES

The State . . . or Its Absence . . . in the Indus Valley

These two selections explore what we can learn about Indus Valley political life and the types of evidence that support these conclusions. In Voice 2.1 the archeologist Gregory Possehl discusses the Indus Valley in the context of other ancient civilizations. Voice 2.2, from the anthropologist and archeologist Jonathan Mark Kenoyer, examines what can be surmised about the political structure of Indus Valley civilization.

- What specific features of other First Civilizations are missing in the Indus Valley historical record?
- What types of sources do scholars use to piece together Indus political culture?
- Which arguments do you find convincing, and which ideas are more speculative?
- **Integrating Primary and Secondary Sources:** How might Source 2.3 support or counter the claims made by these scholars?

VOICE 2.1

Gregory Possehl on Indus Valley Civilization in Context | 2002

The Indus Civilization is something of a faceless socio-cultural system. Individuals, even prominent ones, do not readily emerge from the archaeological record, as they do in Mesopotamia and Dynastic Egypt, for example. There are no clear signs of kingship in the form of sculpture or palaces. There is no evidence for a state bureaucracy or the other trappings of “stateness.” Nor is there evidence for a state religion in the form of large temples or other monumental public works.

It is clear that the Indus Civilization is . . . just as complex in its own way as the archaic civilizations of Mesopotamia and Dynastic Egypt or the Maya and Inca of the New World. But the Indus Civilization was not organized as a state, if by *state* we adhere to the criteria previously outlined [royal sculptures/palaces and state bureaucracies/religions].

It is its marked deviance from the norm of ancient sociocultural complexity that makes the Indus civilization fascinating. . . . To my knowledge, there is, for example, no close parallel to it in either the archeological or ethnographic record. In that sense, the Indus

Civilization comes across as a kind of counterintuitive civilization, possibly “strange” because there are no existing examples that we can point to as comparative.

Source: Gregory L. Possehl, *The Indus Civilization: A Contemporary Perspective* (New York: AltaMira, 2002), 6.

VOICE 2.2

Jonathan Kenoyer on Political Life in the Indus Valley | 1998

The political organization of these cities was probably not a hereditary monarchy, where one would expect to see palaces and royal storehouses. On the contrary numerous large buildings and public spaces in the lower town at Mohenjo-daro and on Mound F at Harappa support the interpretation that several distinct elite groups were living in the [major] cities. . . .

Due to the long distances between the four major cities [400 miles between Harappa and Mohenjo Daro], it is highly unlikely that a single ruler ever dominated the entire Indus Valley. Each of the largest cities may have been organized as an independent city-state, with different communities competing for control. At times a single charismatic leader may have ruled the city, but most of the time it was probably controlled by a small group of elites, comprised of merchants, landowners and ritual specialists. . . .

The famous stone sculptures found at Mohenjo-daro may represent the rise and fall of one such community of the ruling elite. These stone sculptures were found on the surface of the site or in the topmost levels, buried under the fallen walls of the latest Indus structures. Because all of these sculptures were broken, many scholars feel that they were intentionally vandalized. However, they were made from relatively soft stone, and some may have been damaged [through natural weathering or building collapses]. . . .

If the distribution of the sculptures can be taken to indicate residence localities, then these communities were living on the citadel mound as well as in different neighborhoods of the lower city. . . . It is likely that the individuals depicted in these sculptures were influential citizens or even rulers at Mohenjo-daro.

Source: Jonathan Mark Kenoyer, *Ancient Cities of the Indus Valley Civilization* (Oxford: Oxford University Press, 1998), 100 and 102.